

# Study on the polishing mechanism of pH-dependent tribochemical removal in CMP of CaF<sub>2</sub> crystal

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## ABSTRACT

Nanowear tests of calcium fluoride (CaF<sub>2</sub>) crystal against SiO<sub>2</sub> microspherical tip at various pH solutions were conducted using an atomic force microscope to detect the atomic removal mechanism in chemical mechanical polishing (CMP). An optimized solution pH range was defined as 9–10, below which the atomic material removal of CaF<sub>2</sub> cannot occur and above which the excessive chemical corrosion pits will form at the fabricated surface. TEM analysis of wear track demonstrates no remarkable subsurface damage in the wear region, confirming the domination of tribochemical reactions. Finally, the optimized pH was applied in real CMP and the ultimate limit of tribochemical wear in CMP was determined, i.e., a removal of Ca-F<sup>+</sup> layer from a CaF<sub>2</sub>(111) cleavage surface.

## 1. Introduction

As a key material used in high-power laser optics, single-crystal calcium fluoride (CaF<sub>2</sub>) requires extremely high surface accuracy, such as sub-nano roughness and nearly defect-free surface [1–3]. Chemical mechanical polishing (CMP) has been applied successfully to fabricate atomic-level smooth-surfaced semiconductor [4,5] with great potential for the ultraprecision manufacturing of CaF<sub>2</sub> surface [6,7]. In CMP, slurry chemistry is typically optimized to enhance a chemical reaction, which can facilitate material removal and minimize residual subsurface damage [8,9]. By adjusting pH of the CMP slurry, polishing parameters, polishing particle size and surface chemistry, etc., the roughness of polished CaF<sub>2</sub> surface can be reduced to sub-nanometer level [10]. Although the role of slurry pH is significant, the mechanism of the pH-dependent material removal of CaF<sub>2</sub> remains unclear, thereby partial responsible for hindering further improvement of the surface quality of CaF<sub>2</sub> CMP.

The practical CMP process relates to a complicated material removal mechanism involving mechanical interaction, tribochemical reaction, or even corrosion in particles, solutions, and substrate systems [11,12]. Therefore, in addition to the practical process parameters, such as applied load and plate speed [13], the properties of polishing slurry (i.e., flow rate, nanoparticle concentration, and chemistry) may simultaneously influence the polished surface quality [8–10,14]. Although exactly the same single-abrasive-contact in CMP is difficult to be simulated experimentally due to its complex motion, such as scratch, rolling

and turning, the single-point contact wear model, such as that in atomic force microscope (AFM) tests, provides a new perspective for qualifying the effect mechanism of these parameters due to the main domination of mechanochemical reaction of material removal in CMP, which is independence of motion form. For instance, decrease in sliding speed (or increase in contact time) and increase in mechanical stress or temperature can facilitate a tribochemical reaction, resulting in great atomic material removal [15–17]. Furthermore, water molecules play a critical role in tribochemical wear [18–20]. In dry air, the surface damage of crystal CaF<sub>2</sub> undergoes transition from plastic deformation (plane slipping) to brittle removal (crack propagation) with increase of the applied load [21]. Under liquid conditions, a tribochemical reaction causes the atomic layer removal of fresh CaF<sub>2</sub> cleavage surface at the contact pressure even below the yield stress [22]. Mechanical damage, such as plane slipping or delamination, can be suppressed in water at high mechanical stress [23,24]. Even so, previous works normally focused on the effect of water on the material removal mechanism of CaF<sub>2</sub>, but the solution pH should be given more attention because it determines the initiation of tribochemical reaction in CaF<sub>2</sub> CMP.

In this study, we present the effect of solution pH on the atomic material removal of CaF<sub>2</sub>(111) surface rubbed against a SiO<sub>2</sub> single abrasive. The tribochemical wear mechanism of CaF<sub>2</sub> was detected in deep by analyzing the friction and adhesion behaviors combining with high resolution transmission electron microscope (TEM) characterizations. The tribochemical wear of CaF<sub>2</sub>(111) surface was found to occur without residual surface corrosion wear and subsurface lattice damage

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at pH of 9–10. Under the optimized pH conditions, the ultimate limit in CMP was determined, that is, removal of Ca-F<sup>+</sup> layer from CaF<sub>2</sub>(111) cleavage surface, and a sub-nano rough surface can be produced in CMP. This study bridges single abrasive sliding tests and actual CMP by detecting the role of solution pH in atomic material removal, which may shed new light on the tribochemical wear mechanism of CaF<sub>2</sub> in CMP.

## 2. Experimental methods

The samples used in reciprocated sliding wear tests were polished CaF<sub>2</sub>(111) wafers with a root-mean-square (RMS) roughness of 0.52 ± 0.24 nm over a 5 × 5 μm<sup>2</sup> area. The samples used in the atomic layer removal tests and CMP were CaF<sub>2</sub>(111) cleavage surfaces prepared by razor blade cleaving. To remove possible adsorbed contaminants, each sample was ultrasonically cleaned in ethanol for ~3 min, washed with deionized (DI) water, and dried in pure nitrogen before wear or CMP tests.

To simulate the single-abrasive-contact state in CMP, reciprocated sliding and area-scanning wear tests of CaF<sub>2</sub>(111) surface slid against a SiO<sub>2</sub> microspherical tip (radius ≈ 1.25 μm) were performed using an AFM (SPI3800 N, Seiko, Japan) and a liquid cell for solution pH control (Fig. 1a). The solution pH that was determined using an ultra-precision pH meter (mp512-02, Shanghai Sanxin instrument factory, China) ranged from 6 to 12 through regulating the concentration of KOH dissolved in DI water. The well-prepared KOH solutions were used in nanowear tests immediately to ensure the pH accuracy. Using a calibration probe with a force constant of 2.957 N/m, the normal spring constants of the cantilevers of SiO<sub>2</sub> AFM tips were calibrated as 10.5–13.8 N/m [25]. If not specified, the wear tests were performed under room temperature, the applied normal load  $F_n$  was 4.9 μN, the sliding speed  $v$  was 8 μm/s, and the number of sliding cycles was 200. After the wear tests, the worn surfaces were imaged using a sensitive Si<sub>3</sub>N<sub>4</sub> tip (MLCT, Veeco, USA) with a nominal curvature radius of ~12 nm and a nominal spring constant of 0.1 N/m. The wear volume was calculated based on the average cross-section area of the wear scar times the sliding displacement. A selected set of wear scar on the CaF<sub>2</sub> substrate was analyzed using TEM (Tecnai G2, FEI, USA). The cross-section sample was prepared using a focused ion beam system (Nanolab Helios 400S, FEI, Holland). To verify the optimized solution pH obtained from the AFM tests, the actual CMP of a CaF<sub>2</sub>(111) cleavage surface was carried out sequentially using a polisher (UNIPOL-802, MTI, USA) with diamond and silica polishing slurries (Fig. 1b). Table 1 presents the polishing parameters. Each polished CaF<sub>2</sub> surface was ultrasonically cleaned with DI water and imaged using AFM with the sensitive Si<sub>3</sub>N<sub>4</sub> tip.

## 3. Results and discussion

### 3.1. pH dependence of atomic removal on CaF<sub>2</sub> surface

Fig. 2a demonstrates the morphologies of CaF<sub>2</sub> surface after the sliding wear tests in pure water at pH = 6 and in KOH solutions at pH =

9–12. As the pH level of the solution increases from 6 to 12, the surface wear of CaF<sub>2</sub> transforms from having no visible surface damage to obvious material removal at a critical pH of ~9. When the atomic material removal of CaF<sub>2</sub> occurs at pH > 9, the wear depth increases from ~1.4 nm at pH = 10 to ~2.5 nm at pH ≥ 11. Fig. 2b plots the entire wear volume data measured in the range of pH. As solution pH increases, the wear volume of CaF<sub>2</sub> increases gradually at pH ≤ 9 and almost levels off when the pH increases from 11 to 12.

Under the given normal load of 4.9 μN and with maximum adhesion force of approximately 0.45 μN, the contact pressure between the CaF<sub>2</sub>/SiO<sub>2</sub> interface is estimated at ~1.13 GPa based on Derjaguin–Muller–Toporov model. Here, the elastic modulus applied at the CaF<sub>2</sub> surface is 115 ± 15 GPa [10]. At the CaF<sub>2</sub>(111) surface, the maximum contact stress corresponding to the material yield limit under scratch was detected at approximately 10.8 GPa [10], which is considerably larger than the value applied in this study. Hence, mechanical interaction alone cannot reasonably activate the surface wear of CaF<sub>2</sub> under the given AFM experimental conditions; this phenomenon is supported by the wearlessness of CaF<sub>2</sub> at pH = 6 and 9. Therefore, atomic material removal at pH > 9 may be attributed to the tribochemical reaction under chemical solution etching.

Chemical etching can facilitate surface wear, but an extremely strong chemical reaction may result in surface defects [26]. Here, the effect of solution pH on the surface quality after material removal is assessed by using area-scanning model in AFM tests (Fig. 3a). The number of sliding lines in a scanning area of 1.5 × 1.5 μm<sup>2</sup> is 512, and then the scanning interval can be calculated as ~2.93 nm. Given that no visible surface wear is characterized below pH = 9, Fig. 3 demonstrates only the topographies of CaF<sub>2</sub> surfaces after area scanning in KOH solutions at pH = 10 and 11. When the solution pH is 10, material removal can be observed in the scanning region with a small wear depth, as the cross-section profile (black line) shown in Fig. 3b. As the pH increases to 11, more materials are removed under the same scanning conditions, and the wear depth increases to ~1.1 nm (cross-section profile in Fig. 3d). However, tiny and dense corrosion pits can be observed on the scanned surface (Fig. 3e). This finding may be due to the chemical corrosion of strong alkaline solution [4], which seems to be absent in pH 10 (Fig. 3c). The results in Figs. 2 and 3 show that although the strong basic solution is conducive to the material removal of CaF<sub>2</sub>, the chemical corrosion of KOH solution on the surface of CaF<sub>2</sub> is intensive, resulting in excessive chemical corrosion damage on the surface, which reduces the quality of the processing surface. Here, the AFM tests indicate that the optimal pH of the polishing slurry for the CMP of CaF<sub>2</sub> surface is approximately 9–10.

### 3.2. Interfacial interaction between CaF<sub>2</sub>/SiO<sub>2</sub> contacting surfaces at different pHs

Fig. 4 shows the variation in the friction force with the number of sliding cycles under different solution pH conditions. The friction forces decrease slightly as the pH increases from 6 to 9 and then increases to a maximum value of pH = 10 following the decrease with further increase

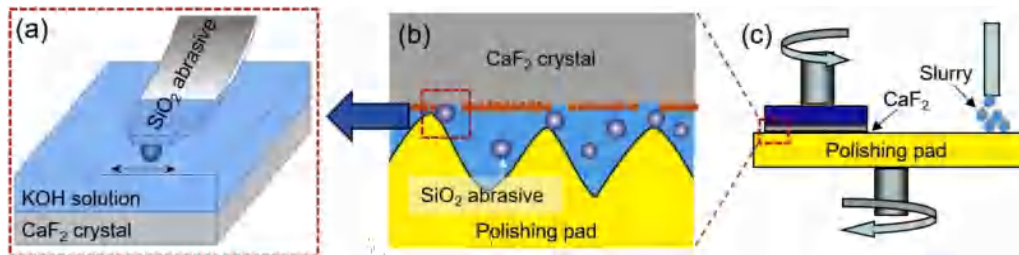
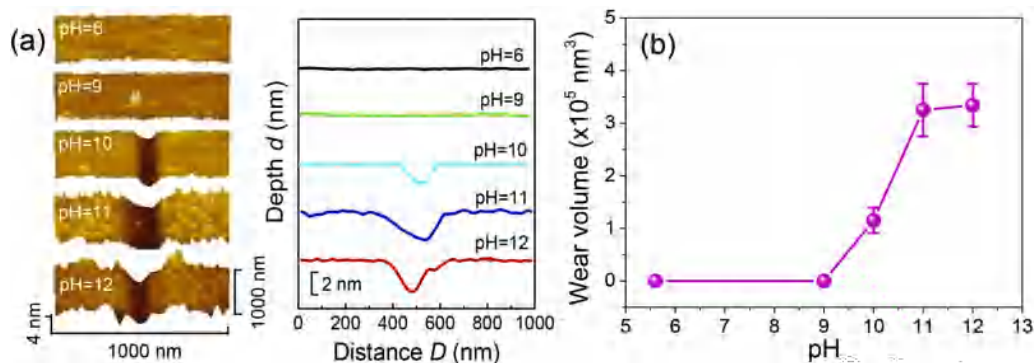


Fig. 1. Schematic illustration of a SiO<sub>2</sub> microspherical tip sliding against CaF<sub>2</sub> in AFM tests (a) to simulate single abrasive contact in actual CMP process (b) In the AFM tests, the SiO<sub>2</sub> microsphere with a radius of ~1.25 μm moved horizontally on the CaF<sub>2</sub> wafer under water or KOH solutions. In an actual CMP process, the pH of the polishing slurry was controlled according to the optimized value obtained in the AFM tests.

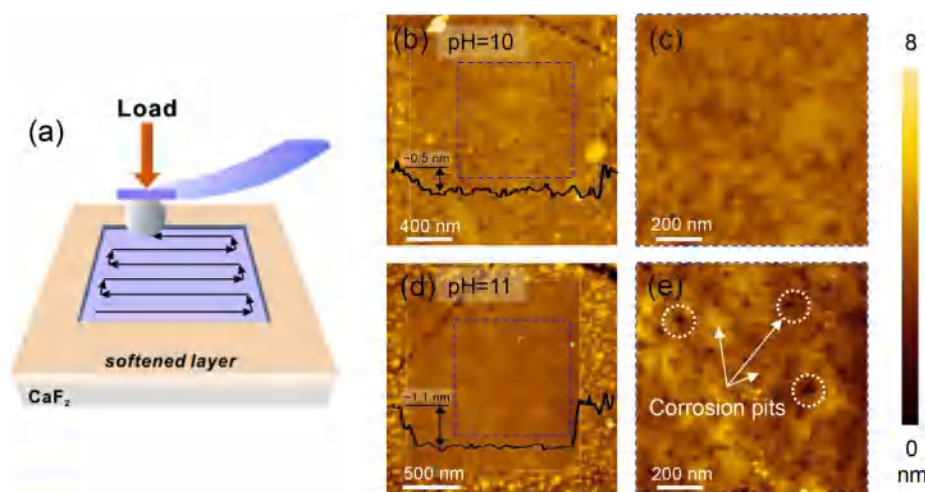
**Table 1**

CMP parameters for polishing the CaF<sub>2</sub>(111) cleavage surface.

Slurry abrasive	pH of slurry	Polishing pad	Polishing pressure (kPa)	Rotation speed (r/min)	Velocity of fluid flow (ml/min)
Diamond nanoparticle	9.5–10	Non-woven fabrics	40 ± 10	600	100–150
Silica nanoparticle	9.5–10	Flexurane	44 ± 1	120	50



**Fig. 2.** Atomic removal of CaF<sub>2</sub> against SiO<sub>2</sub> microspherical tip at various pHs. (a) AFM images and corresponding cross-section profiles of the wear scars formed at various solution pHs at a normal load  $F_n$  of ~4.9  $\mu$ N. (b) Wear volume on CaF<sub>2</sub> surfaces as a function of pH. The number of sliding cycle  $N$  is 200, sliding velocity  $v$  is 8  $\mu$ m/s, and sliding distance  $D$  is 2  $\mu$ m.

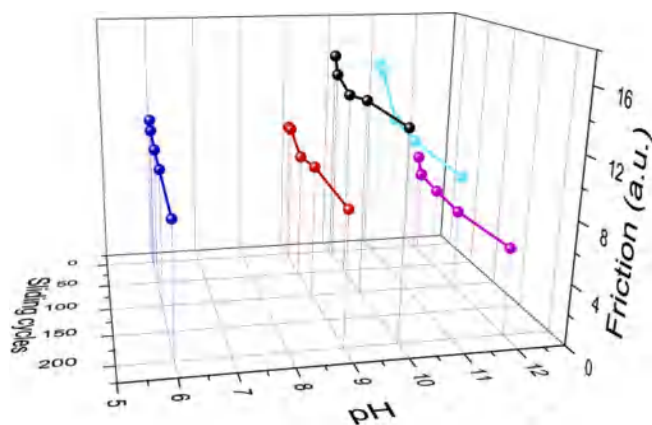


**Fig. 3.** Area scanning of CaF<sub>2</sub> surfaces against SiO<sub>2</sub> tip in KOH solutions at different pHs. (a) Schematic illustration of the area scanning of CaF<sub>2</sub> surface against a SiO<sub>2</sub> tip. (b) and (d) show the surface topography of CaF<sub>2</sub> surfaces after area scanning in KOH solution at pH = 10 and 11, respectively. (c) and (e) show the AFM images of the scanning regions marked by blue dotted line frames in (b) and (d), respectively. The normal load  $F_n$  is 4.9  $\mu$ N, the number of sliding lines in a scanning area of  $1.5 \times 1.5 \mu\text{m}^2$  is 512, and the sliding velocity  $v$  is 4  $\mu$ m/s. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

of solution pH. As the number of sliding cycle increases, the friction force almost remains constant when no surface wear forms at  $\text{pH} \leq 9$ . However, the friction force presents a gradual decrease at the initial sliding cycles as the atomic material removal occurs at  $\text{pH} > 9$ .

Comparison between the wear results in Fig. 2 and friction behaviors in Fig. 4 indicates that the increase in atomic material removal at higher pH does not result from mechanical interaction. To detect the tribochemical mechanism of CaF<sub>2</sub> in KOH solutions, the adhesive interactions between CaF<sub>2</sub>/SiO<sub>2</sub> contact interfaces are compared under different pH conditions. In pure water with  $\text{pH} \approx 6$ , the adhesion (pull-off) force is measured as ~0.5  $\mu$ N (Fig. 5a). This value decreases to ~0.15  $\mu$ N when the pH of the KOH solution increases to 11 (Fig. 5b). Notably, the adhesive interaction weakens when the tribochemical wear occurs at high pH. In contrast to the increase in the tribochemical wear of CaF<sub>2</sub> (Fig. 2), the adhesion force decreases gradually as the solution pH increases from 9 to 12 (Fig. 5c).

Fig. 6a compares the approach parts of the force-distance curves obtained at different solution pH values (red lines shown in Fig. 5a and b). The main contribution from van der Waals force results in the attractive interaction of two near-contacting surfaces [27], which is



**Fig. 4.** Friction forces of CaF<sub>2</sub>/SiO<sub>2</sub> interface as a function of the number of sliding cycles at different solution pHs. The applied normal load  $F_n$  is ~4.9  $\mu$ N, and the sliding velocity  $v$  is 8  $\mu$ m/s.

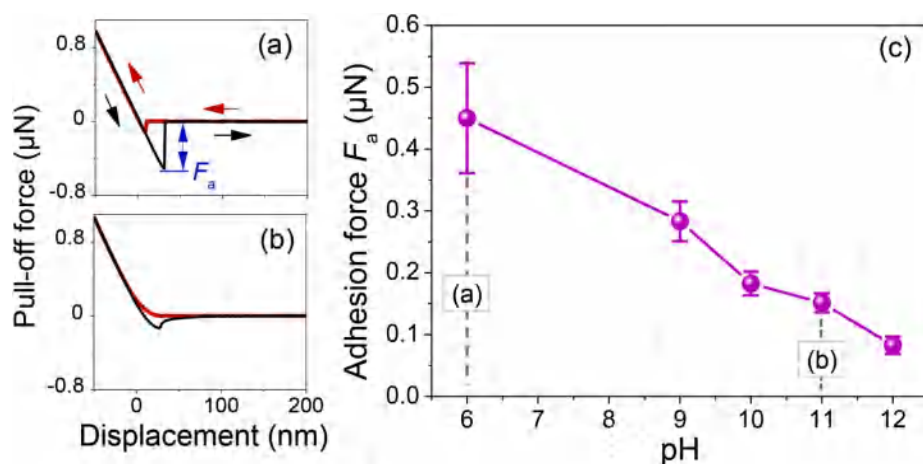


Fig. 5. Adhesion (pull-off) forces of  $\text{CaF}_2/\text{SiO}_2$  interface at different solution pHs. (a) Force-distance curve obtained in pure water at  $\text{pH} = 6$ .  $F_a$  is the adhesion (pull-off) force. (b) Force-distance curve obtained in the KOH solution at  $\text{pH} = 11\text{--}12$ . Here, the red and black lines denote the approach and withdrawal between the  $\text{CaF}_2/\text{SiO}_2$  contact surfaces, respectively. (c) Adhesion force of  $\text{CaF}_2/\text{SiO}_2$  interface as a function of solution pH. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

indicated by the occurrence of a sudden jump as the AFM tip approaches. The jump force decreases markedly with the increase in solution pH, indicating that the attraction between the contacting interface continues to decline. In a liquid environment, the interfacial attraction should mainly come from van der Waals, bonding, and electrostatic forces [28]. Normally, van der Waals and bonding forces cause attraction of two contacting surfaces, and van der Waals force is slightly affected by the concentration of solution medium and pH [27]. Therefore, the variation in the attraction should be mainly attributed to the evolution of the electrical property of the contacting surfaces with solution pH.

The isoelectric point of silica is reported to be at  $\text{pH} = 2\text{--}5$ , above which the surface OH groups will ionize and lead to negative charges [29,30]. By comparison, the isoelectric point of  $\text{CaF}_2$  crystal is approximately  $\text{pH} = 9$  [31]. At  $\text{pH} < 9$ , the surface of  $\text{CaF}_2$  will be positively charged because the outermost  $\text{F}^-$  dissolves faster than  $\text{Ca}^{2+}$  [31].



When  $\text{pH} > 9$ ,  $\text{F}^-$  on the surface of  $\text{CaF}_2$  begins to exchange with  $\text{OH}^-$  in KOH solution, and then  $\text{Ca}(\text{OH})_2$  softening layer is formed at the outermost surface [17].



Under this condition, the positive charge on the surface of  $\text{CaF}_2$  gradually disappears and possibly carries a small amount of negative charge due to the exchange of ion and the adsorption of OH groups [31]. The opposite charges of the  $\text{CaF}_2/\text{SiO}_2$  contacting surfaces at  $\text{pH} < 9$  correspond to an electrostatic attraction force. Then, the electrostatic and van der Waals forces overlap, causing a strong jump force as the  $\text{SiO}_2$  tip approaches the  $\text{CaF}_2$  surface (Fig. 6b). By contrast, the chemical reaction between  $\text{CaF}_2$  and KOH at  $\text{pH} > 9$  results in the same charges between  $\text{CaF}_2$  and  $\text{SiO}_2$  tip surfaces. When these two surfaces approach,

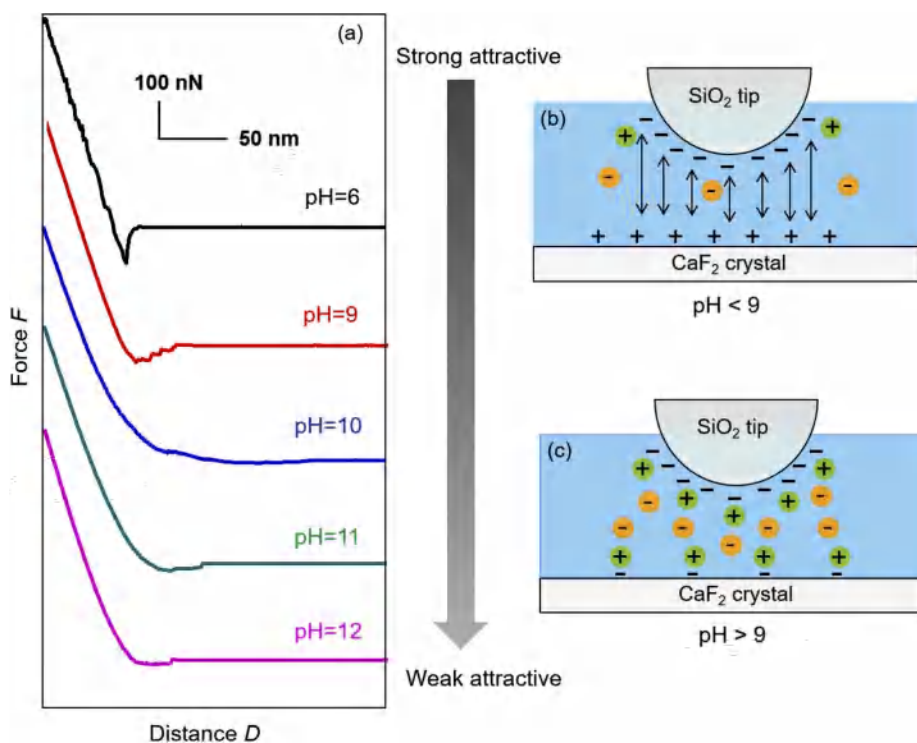
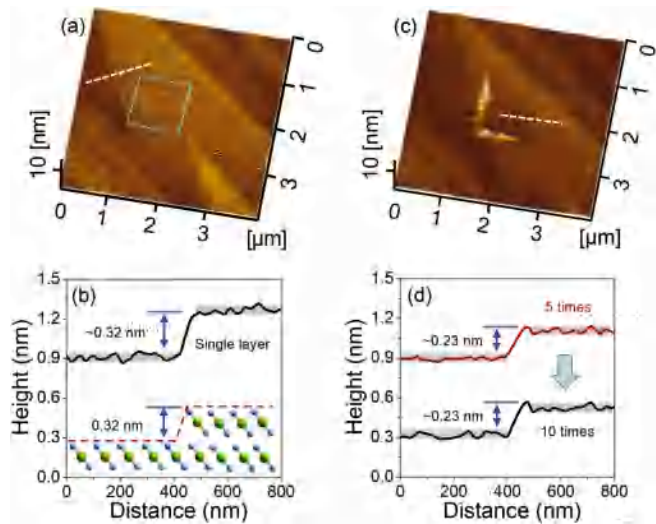


Fig. 6. (a) Approach parts of the force-distance curves of the  $\text{CaF}_2/\text{SiO}_2$  contacting interface at different solution pH values. (b) and (c) illustrate the schematic diagrams of the interactions between  $\text{CaF}_2/\text{SiO}_2$  contacting interface in pure water ( $\text{pH} = \sim 6$ ) and in KOH solutions with different pH values ( $\geq 9$ ), respectively.





**Fig. 7.** Atomic layer removal of  $\text{CaF}_2(111)$  sliding against a  $\text{Si}_3\text{N}_4$  tip (radius  $\approx 15$  nm) after immersion for  $\sim 30$  min in KOH solution at  $\text{pH} = 10$ . (a) AFM image of original  $\text{CaF}_2(111)$  cleavage surface before KOH immersion after area scanning for five times in humid air (marked by azure dotted frame). (b) The cross-section profile of the atomic step is marked by white dotted lines in (a), and the atomic step height of the F–Ca–F single layer is  $\sim 0.32$  nm (bottom schematic in b). (c) AFM image of the KOH-immersed  $\text{CaF}_2(111)$  cleavage surface after area scanning for five times. (d) Comparison of the cross-section profiles of the wear area after scanning for 5 and 10 times (marked by white dotted lines in c). The scanning normal load  $F_n$  is 150 nN, the number of sliding times inside the area-scanning region with  $1 \times 1 \mu\text{m}^2$  is 512, and the sliding velocity  $v$  is  $4 \mu\text{m/s}$ .

the force-displacement curves show long-range interaction as the electric double layers around the interface superimposing, and the electrostatic repulsion force will weaken the jump force in the force-displacement curves (Fig. 6c).

Previous studies reported that though the contact pressure was far less than the yield stress, some materials (i.e., silicon [19,32–34], gallium arsenide [35], and phosphate laser glass [36]) could be destroyed by the tribochemical reaction, that is, interfacial bond formation and breakage of substrate bonds, causing atomic material removal. Here, the increase in the repulsion force between the  $\text{CaF}_2/\text{SiO}_2$  contacting surfaces with  $\text{pH}$  rising indicates that the material removal of  $\text{CaF}_2$  in KOH solution is not due to the enhancement in interfacial interactions, such as adhesion or interfacial bond formation. Chemical corrosion with KOH solution (ion exchange) combined with the mechanical interaction acted by AFM tip should play a critical role in the tribochemical removal

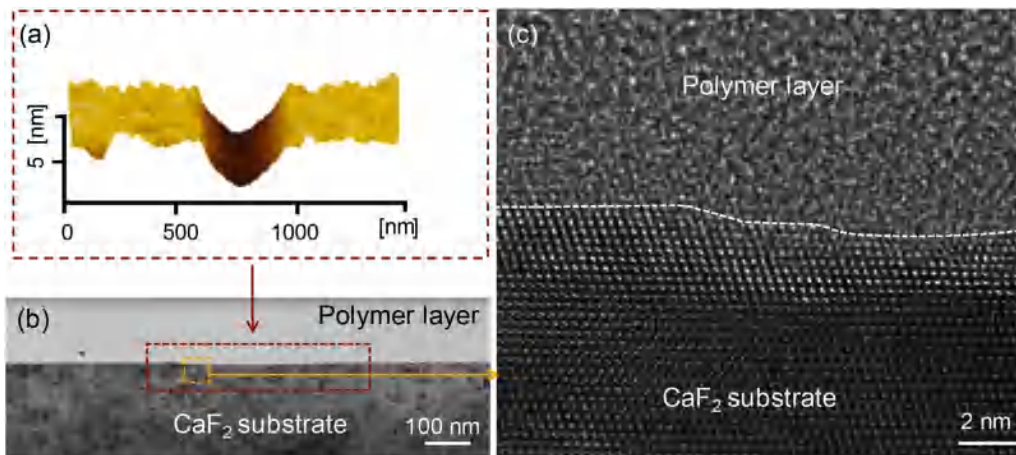
process of  $\text{CaF}_2$ .

### 3.3. Tribochemical removal mechanism of $\text{CaF}_2$ in KOH solution

To further detect the removal mechanism of  $\text{CaF}_2$  in KOH solution, the atomic layer removal of  $\text{CaF}_2(111)$  cleavage surface in humid air ( $\text{RH} \approx 40\%$ ) is compared before and after immersion in KOH solution. A  $\text{CaF}_2(111)$  cleavage surface with single F–Ca–F layer step (thickness  $\approx 0.32$  nm) is prepared firstly (Fig. 6a and b). Using area-scanning model (same as that shown in Fig. 3a), the atomic layer removal of the fresh  $\text{CaF}_2(111)$  cleavage surface is studied by sliding against a  $\text{Si}_3\text{N}_4$  tip (radius  $\approx 15$  nm) at a normal load of 150 nN in humid air. After repeating five sliding cycles, no marked surface damage can be observed (azure dotted frame in Fig. 7a). By contrast, atomic material removal with a depth of  $\sim 0.23$  nm is formed on the KOH-solution-immersed  $\text{CaF}_2(111)$  surface under the same area-scanning conditions (Fig. 7c and d). However, the wear depth does not change though another five scanning times are added (Fig. 7d), indicating that the value of  $\sim 0.23$  nm is close to the thickness of the “softened layer” formed under the given  $\text{pH}$  conditions. These results support that the chemical reaction in Eq. (2) can result in the formation of  $\text{Ca}(\text{OH})_2$  “softened layer”, which can be easily removed by AFM tip scratching. Surprisingly, the minimum removal depth ( $\sim 0.23$  nm) of  $\text{CaF}_2(111)$  cleavage surface is close to the thickness of the Ca–F atomic layer (0.236 nm) [37]. Thus, we hypothesize that in the chemical reaction between  $\text{CaF}_2(111)$  and KOH solution, only  $\text{F}^-$  at the outermost layer exchanges with  $\text{OH}^-$  in the KOH solution, and the internal  $\text{F}^-$  layer continues to bond with the substrate.

Fig. 8 shows the TEM observations of a wear scar on the  $\text{CaF}_2$  surface formed in KOH solution at  $\text{pH} = 10$ . As shown in Fig. 8a and b, an approximately 5-nm-deep groove was formed on the  $\text{CaF}_2$  surface after sliding a  $\text{SiO}_2$  sphere under a contact pressure of 1.13 GPa. The high-resolution TEM image of the cross-section region (Fig. 8c) demonstrates perfect crystallographic lattice order in  $\text{CaF}_2$  despite close proximity to the worn surface. Then, the occurrence of subsurface plastic deformation under this low contact pressure can be ruled out because no lattice defects (e.g., dislocation or slippage) are formed in the  $\text{CaF}_2$  subsurface. Therefore, unlike the mechanical wear originating from amorphous transformation or crack initiation [38–40], the nondestructive material removal of  $\text{CaF}_2$  in KOH should be mainly attributed to tribochemical reactions.

Fig. 9 schematically shows the tribochemical wear mechanism of  $\text{CaF}_2$  in KOH solution. A pristine  $\text{CaF}_2(111)$  surface is detected as fluorine terminated [41]. Chemically, the ionic exchange between  $\text{OH}^-$  in KOH solution and  $\text{F}^-$  on  $\text{CaF}_2$  surface generates  $\text{Ca}(\text{OH})_2$  as an outermost “softened layer” [42,43]. The mechanical interaction applied by the  $\text{SiO}_2$  tip during the sliding process can remove this softened layer at low contact pressure, resulting in surface wear. The decrease of friction force



**Fig. 8.** TEM observations of the wear scar on the  $\text{CaF}_2$  substrate formed in KOH solution at  $\text{pH} = 10$ . (a) AFM image showing an approximately 5-nm-deep wear scar formed on  $\text{CaF}_2$  surface after sliding with a  $\text{SiO}_2$  microsphere under the conditions of  $F_n = 4.9 \mu\text{N}$ ,  $v = 40 \mu\text{m/s}$ , and sliding cycles  $N = 500$ . (b) TEM image of the cross-section of the wear scar. (c) Representative lattice-resolved image in the worn area marked with a box (yellow dotted line) in (b). (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

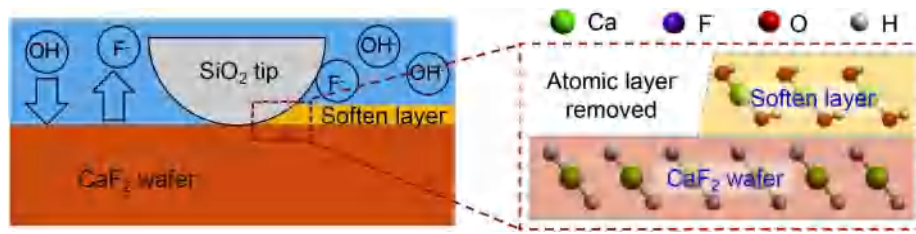


Fig. 9. Schematic illustration of the tribochemical wear of CaF<sub>2</sub> substrate slid against a SiO<sub>2</sub> tip in KOH solution. During the sliding process, the SiO<sub>2</sub> tip removes the formed softened layer (left picture), which is Ca(OH)<sub>2</sub> (right picture).

with sliding cycles at pH > 9 (Fig. 4) indicates that the mechanical or chemical properties of the softened layer may change along with the thickness direction due to the existence of native oxide layer or pre-reactively softened layer formed during handling process before nanowear tests. In addition, mechanical stress may lower the energy barrier for the initiation of chemical reaction [44] and facilitate the ion exchange between KOH solution and CaF<sub>2</sub> substrate. Then, the material removal of CaF<sub>2</sub> will be aggravated further. Given that minimal contact stress can only induce the elastic deformation of CaF<sub>2</sub> substrate, the crystallographic lattice of the substrate retains its original form after removal of the outermost surface softened layer (Fig. 8).

### 3.4. CMP of CaF<sub>2</sub>(111) cleavage surface at the pH-optimized slurry

According to the AFM results, the optimized pH of KOH solution is 9–10, under which the material of CaF<sub>2</sub> can be removed at low contact stress and with minimized residual corrosion pits at the fabricated surface (Figs. 2 and 3). In actual CMP, the polishing pad drives the SiO<sub>2</sub> abrasive particles to attack the CaF<sub>2</sub> surface in rolling and sliding manners, causing the removal of surface softened layer [45]. During this process, the role of slurry pH is significant. The low pH of the CMP slurry will influence the polishing efficiency due to the weak chemical reaction and the agglomeration of SiO<sub>2</sub> abrasive particles [46]. However, extremely high pH may cause excessive chemical corrosion damage, lowering surface quality [26].

Here, the optimized pH condition obtained in the AFM tests is verified in the CMP of CaF<sub>2</sub> surface. A fresh CaF<sub>2</sub>(111) cleavage surface is

prepared by razor blade cleaving (Fig. 10a). This cleavage surface is first polished using a diamond abrasive in the pH-optimized slurry (pH = 9.5–10) to remove the atomic steps. Table 1 shows the polishing parameters. As shown in Fig. 10b, the CaF<sub>2</sub>(111) surface with a RMS roughness of ~5 nm in 5 × 5 μm<sup>2</sup> is fabricated after the removal of surface atomic steps. Given the extreme high hardness of the diamond abrasives, scratch damage is unavoidable. Afterward, CMP with SiO<sub>2</sub> slurry is carried out at the optimized pH (9.5–10). Scratches on the CaF<sub>2</sub>(111) surface can be completely removed, and surface roughness is finally reduced to ~0.37 nm (Fig. 10c). The fabrication of sub-nano rough surface without corrosion pit supports that the optimized pH condition obtained from AFM tests is effective in actual CMP of CaF<sub>2</sub>.

## 4. Conclusions

The solution pH dependence of the tribochemical removal of CaF<sub>2</sub>(111) against single SiO<sub>2</sub> abrasive was studied using AFM. The optimized pH for material removal occurring without residual corrosion wear at the fabricated surface was determined and verified in an actual CMP process. The main conclusions can be summarized as follows.

- (1) Tribochemical wear of CaF<sub>2</sub>(111) against single SiO<sub>2</sub> abrasive strongly depends on solution pH. The optimized pH of the solution for CaF<sub>2</sub> CMP is 9–10, below which no remarkable material was removed because the contact pressure was less than the material yield stress and above which the excessive chemical corrosion pits will be formed at the fabricated CaF<sub>2</sub>(111) surface.

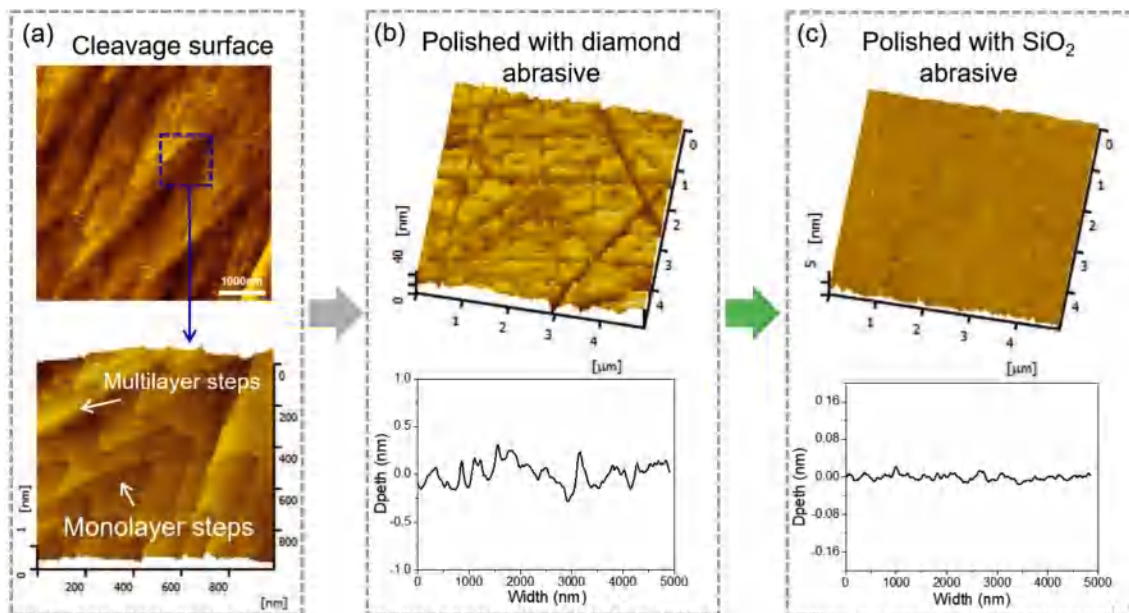


Fig. 10. CMP of CaF<sub>2</sub>(111) cleavage surface in the slurry with the optimized pH (9.5–10). (a) AFM images of pristine CaF<sub>2</sub>(111) cleavage surface. (b) AFM image and cross-section profile of the CaF<sub>2</sub>(111) surface after polishing with diamond slurry. (c) AFM image and cross-section profile of CaF<sub>2</sub>(111) surface after further polishing with SiO<sub>2</sub> slurry.

- (2) In contrast to the increase in the tribochemical wear of CaF<sub>2</sub>, the adhesive interaction between CaF<sub>2</sub>/SiO<sub>2</sub> interface in KOH solution decreases gradually as solution pH rising. This result rules out the possibility that interfacial bond formation largely contributes to the tribochemical wear of CaF<sub>2</sub> in KOH solution.
- (3) The material removal in humid air only occurring after corrosion in KOH solution and the perfect subsurface lattice detected by TEM observation support that the tribochemical wear of CaF<sub>2</sub> is mainly attributed to chemical corrosion combined with mechanical interaction. Based on this tribochemical reaction, the ultimate limit in polishing was demonstrated, that is, removal of Ca-F<sup>+</sup> layer from a CaF<sub>2</sub> cleavage surface. Meanwhile, the optimized pH of the slurry for polishing CaF<sub>2</sub>(111) cleavage surface was applied in an actual CMP process and a sub-nano smooth CaF<sub>2</sub> surface without visible surface corrosion damage was fabricated under this condition.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### CRediT authorship contribution statement

**Jie Guo:** Investigation, Writing - original draft. **Jian Gong:** Investigation, Data curation, Formal analysis, Writing - original draft. **Pengfei Shi:** Data curation. **Chen Xiao:** Formal analysis. **Liang Jiang:** Resources. **Lei Chen:** Conceptualization, Writing - review & editing, Formal analysis, Funding acquisition, Supervision. **Linmao Qian:** Resources, Software, Supervision.

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