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# Multimodal upconversion CaF<sub>2</sub>:Mn/Yb/Er/Si nanoparticles

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## ABSTRACT

The mesoporous nanocrystalline CaF<sub>2</sub>:Mn/Yb/Er/Si powder was obtained by pulsed electron beam evaporation (PEBE) method in a vacuum. The specific surface area (SSA) of the nanopowder (NP) reached a value of 296 m<sup>2</sup>/g. The effect of thermal annealing in the air on the structural, textural, thermal, magnetic, and luminescent properties of CaF<sub>2</sub>:Mn/Yb/Er/Si NPs has been studied. The NPs have demonstrated the dominant RED-NIR upconversion (UC) luminescence under the exposure to the 980-nm laser radiation. The paramagnetic properties of CaF<sub>2</sub>:Mn/Yb/Er /Si NPs suggest the possibility of their use in magnetic resonance (MR) imaging. The annealing of NPs in the air has confirmed their high thermal stability in the temperature range from the room temperature (RT) to 400 °C. The developed NPs have a potential for the use as a trimodal agent in UC/MR/CT imaging in nanomedicine.

## 1. Introduction

The progress achieved in the field of nanotechnologies and nanomaterials stimulates the development of functional nanoparticles (NPs) as bio-probes for various biomedical applications [1]. Unimodal NPs with optical or magnetic characteristics are widely used in bio-probing and bio-imaging. Nevertheless, they do not allow obtaining all the needed information about biological samples [2]. Requirements of upto-date biomedical technology assume development of new-generation multimodal bio-probes. Multimodal biomarkers combining two or more functions become a new challenge in biology and medicine [3]. In particular, inorganic NPs doped with trivalent ions of rare-earth elements (REE) have unique electronic structures and unusual optical and magnetic properties, and they are promising candidates for multimodal bio-applications [4]. Usually, a crystal matrix is doped with REE ions and /or transition metal (TM) ions as luminescent centers [5]. Outstanding properties of NPs doped with REE ions, such as narrow width of f-f emission bands, long fluorescence lifetime, near-infrared (NIR) excitation, large anti-Stokes shifts for considerable separation of upconversion luminescence (UCL) from excitation, weak anti-fluorescent background with the high signal-to-noise ratio, low cytotoxicity, high chemical stability, and high resistance to photobleaching, make them very suitable for using in various bio-applications as an alternative to organic fluorescent dyes, carbon nanotubes, and quantum dots [5,6].

In particular, in upconversion nanoparticles (UCNP) the emission and excitation wavelengths can fall within the bio-tissue transmission window covering approximately the wavelength range from 700 to 1000 nm, which makes UCNP suitable for deep imaging of tissues [7,8]. However, traditional lanthanide activators, such as  $Tm^{3+}$  and  $Er^{3+}$ ions, have many metastable excited states. Therefore, the dominant emission band often lies beyond the red spectral range with the relatively low red emission intensity [9]. That is why the strategy of increasing the red emission intensity is used more and more often for different UC applications, especially, for deep imaging of tissues [5,10]. It should be noted that the intensity of emission in the NIR 800-nm band is much higher than the emission of any other band. This fact indicates the high potential of the above strategy for deep imaging of tissues [11,12].

Today, the 3D reconstruction of a unimodal medical image (magnetic resonance imaging (MRI), computer tomography (CT), positron emission tomography (PET), single-photon emission computer tomography (SPECT), and optical imaging can give a bright image of tissues, but fails to provide accurate and thorough information for clinical practice. Therefore, various bimodal, trimodal, and even four-modal

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imaging technologies [13], such as, for example, UCPL/MR/CT [14], UCPL/MR/PET [15], and UCPL/CT/MR/SPECT [16] attract significant attention. It is believed that the combination of different methods of molecular imaging in multifunctional NPs can compensate for disadvantages of the methods, in particular, in respect of the spatial resolution, penetration depth, and so on.

The optical properties of REE- and TM-doped luminescent NPs depend strongly on the crystal lattice of the matrix, they reside in [17]. The photoluminescence (PL) lifetime and the PL efficiency of dopants depend significantly on the structure, local symmetry, strength of the crystal field, and energy of matrix phonons [18].

Fluorides, owing to their high chemical stability and low phonon energy (< 350 cm<sup>-1</sup> [19]), are considered as the most efficient materials for doping with lanthanides ( $Ln^{3+}$ ) in order to achieve the desired luminescence (UCPL or Stokes luminescence). Among fluoride NPs doped with lanthanides, calcium fluoride is characterized by the best bio-compatibility [20].

The synthesis of high-emission  $CaF_2$ :  $Ln^{3+}$  NPs is now a problem because of the effect of surface quenching [21]. In addition, it is hard to control the morphology of  $CaF_2$  NPs at heterovalent doping with  $Ln^{3+}$ ions in different concentrations [22]. That is why the development of an efficient strategy for the preparation of CaF<sub>2</sub>: Ln<sup>3+</sup> NPs with intense PL and different concentration of dopant Ln<sup>3+</sup> ions for various bio-applications is an urgent problem. The number of studies dealing with the synthesis of fluoride UCNPs doped with REE ions and Mn ions for the further use as multimodal contrast agents [23] in theranostics of cancer cells [24] and deep imaging of tissues [25] has increased considerably in the last decade. The luminescent NaYF4 matrix is believed to be the most efficient among fluorides [26], but it is more toxic in comparison with  $CaF_2$  [16]. That is why calcium fluoride as a matrix is interesting for the synthesis of multimodal UCNPs. The paramagnetic  $Mn^{2+}$  ion was introduced into the CaF<sub>2</sub> matrix to add the MRI mode [27] and to intensify the red band of luminescence. Now there are only few data on NPs based on CaF<sub>2</sub> with high longitudinal relaxivity for MRI [28–31].

The objective of this paper was the synthesis using the method of pulsed electron-beam evaporation (PEBE) in a vacuum [32] and the study of physical-chemical characteristics of multimodal  $CaF_2:Mn/Yb/$ Er/Si nanopowder promising for use in nanomedicine, dosimetry, and photonics. The effect of changes in the concentration of manganese fluoride and thermal annealing of the CaF<sub>2</sub>:Mn/Yb/Er/Si nanopowder on the structure, magnetic, relaxation, and luminescent properties of the samples has been studied.

#### 2. Experimental

# 2.1. Justification of choice of the target composition

To meet biolabeling requirements, UCNPs should have the high dispersibility in water [33,34], good bio-compatibility, nanometer size of 30–100 nm, high luminescent intensity [35], the feasibility of functionalization of the nanoparticle surface, minimal toxicity, high stability and photostability, and easy elimination from the human body [36].

When choosing the target composition to obtain a multimodal UCPL/MR/CT contrast agent (CA), we based upon the following requirements to CA:

(I) dopants and the matrix should have minimal toxicity. Therefore, in place of the toxic  $Gd^{3+}$  ion widely used in contrast agents for magnetic resonance imaging (MRI) [37], we selected for Mn. The  $Mn^{2+}$  ion has paramagnetic properties at the room temperature, which favors the creation of CA for MRI [37]. Manganese and calcium are naturally present in the human body. Thus, they determine the low toxicity of the multimodal material. CaF<sub>2</sub> is more bio-compatible in comparison with the most promising modern up-conversion material – NaYF<sub>4</sub> doped with a couple of rare-earth Yb<sup>3+</sup> and Er<sup>3+</sup> ions [38,39];

(II) among fluorides of alkali-earth metals ( $MF_2$ , M = Ca, Ba, and

Sr) for creation of the multimodal material based on the  $MF_2-MnF_2$  system,  $CaF_2$  is most suitable by the following reasons:

a) it is well-known [40] that the matrix based on the CaF<sub>2</sub>-Mn system luminesces in the blue spectral range ( $\lambda_{max} = 490$  nm), whereas the doping of BaF<sub>2</sub> and SrF<sub>2</sub> fluorides with Mn<sup>2+</sup> ions leads to suppression of photoluminescence in the temperature range from 10 to 200 K [41].

b) The CaF<sub>2</sub>-MnF<sub>2</sub> phase diagram is studied quite thoroughly [42]. The wide range of  $MnF_2$  solubility in the CaF<sub>2</sub> lattice allows the matrix to be doped with manganese in a wide range of concentrations (up to 47 mol.%). The solubility of rare-earth elements (REEs) (in this case, Yb and Er ions) in CaF<sub>2</sub> is also rather high [43–45].

d) The matrix and dopants should have a minimal cost. Among fluorides of alkali-earth metals, the CaF<sub>2</sub> matrix meets this requirement. The easiest way to dope the CaF<sub>2</sub> matrix is to use RE trifluorides since the solid-state solutions of fluorite type like  $M_{1-x}R_xF_{2+x}$  (M = Ca, Sr, Ba, R – RRE) exist in all MF<sub>2</sub>-RF<sub>3</sub> systems [46]. However, the cost of RE trifluorides is an order of magnitude higher than that of RE oxides. That is why we selected the latter as dopants, despite the injection of RE oxides into the target could lead to an undesirable increase of the oxygen concentration in the end product.

(III) To give upconversion to the Mn- CaF<sub>2</sub> matrix, we have selected the Yb–Er couple among the most widely used donor-acceptor couples (Yb–Er, Yb–Tm, Yb–Ho). The choice was determined by the fact that apart from the efficient energy transfer from Yb to Er allowing NIR-to-VIS upconversion, the visible luminescence of  $\text{Er}^{3+}$  ions lies in the spectral range, where commercial multiphoton microscopes have the highest detection response [47].

(IV) To increase the porosity and hydrophilicity and to decrease the toxicity of the end product at the expense of a silica shell created (due to the self-organization effect) on the surface of synthesized NPs, cheap Aerosil-90 NPs (Degussa, Germany) were added to the target composition. When Aerosil (90) is used, it becomes unnecessary using expensive mesoporous nanopowders like MSM-41 or SB-15 [48]. The earlier studies of the porosity of silicate [49], pure fluoride BaF<sub>2</sub> [50], and oxide [51] nanopowders have shown that mesoporous nanopowders consisting of nonporous NPs with developed interparticle porosity are formed if the PEBE method is used [52]. The closed analogs are nanopowders of different Aerosils obtained by the method of flame synthesis [53]. However, generation of multicomponent NPs with the application of the flame synthesis method is somewhat more difficult in comparison with PEBE in view of the difficult selection of initial precursors.

Based on the foregoing reasons, for tentative analysis, we have selected the  $CaF_2$  fluoride as a matrix and  $MnF_2$  fluoride and  $Yb_2O_3$ ,  $Er_2O_3$ , and  $SiO_2$  oxides as dopants.

#### 2.2. Experimental

The CaF2:Mn/Yb/Er/Si NPs were obtained with the PEBE in vacuum at the NANOBEAM-2 setup [54] (residual pressure of 4 Pa).

The targets to be evaporated were made from micron-sized powders: CaF<sub>2</sub> calcium fluoride (ultra-high purity, TU 6-09-2412-84, SSA = 18.47 m<sup>2</sup>/g), water manganese fluoride MnF<sub>2</sub>\*nH<sub>2</sub>O (high purity, TU 6-09-01-367-76), Yb<sub>2</sub>O<sub>3</sub> (99.99 %, China), Er<sub>2</sub>O<sub>3</sub> (99.99 %, China), and Aerosil90 SiO<sub>2</sub> NP (Degussa, Germany). Prior to mixing, the MnF<sub>2</sub>\*nH<sub>2</sub>O powder was dried in air at the temperature of 120 °C for 1 h. The target components were mixed manually in an alundum crucible and pressed at a PRG-1 press at a pressure of 50 kN into the tablet with the diameter of 40 mm and the height of 10 – 15 mm. To improve the density of the tablets, about 5 mm<sup>3</sup> of isopropyl alcohol was added to the mechanic mixture. The obtained tablets were not annealed in the air to avoid adding extra oxygen in calcium fluoride during annealing. Then the target was kept in a vacuum for 24 h and subjected to direct evaporation. The concentrations of the target components are given in Table 1.

Table 1 Concentrations of initial components of the target

0			
Components	Wt.%		
CaF <sub>2</sub>	86.0		
MnF <sub>2</sub>	4.5		
Yb <sub>2</sub> O <sub>3</sub>	4.3		
Er <sub>2</sub> O <sub>3</sub>	0.4		
SiO <sub>2</sub>	4.8		

The following operating conditions of the Nanobeam-2 setup were used: accelerating voltage of 40 kV, beam current of 0.2 A, the pulse duration of 100  $\mu$ s, pulse repetition frequency of 100 Hz, beam sweep on the target of 2.5 cm<sup>2</sup>, and evaporation time of 50 min. NPs were deposited onto large-area window-glass substrates separated by 10–15 cm from the target center. The following isothermal annealing of CaF<sub>2</sub>:Mn/Yb/Er/Si NPs was conducted in alundum crucibles in the air at a temperature of 200, 400, 600, and 900 °C for 10 min. From here on in this paper, CaF<sub>2</sub>:Mn/Yb/Er/Si samples as-prepared and annealed at the temperature of 200, 400, and 900 °C were designated as S0, S200, S400, S600, and S900, respectively.

For analysis of NP properties, the following diagnostics were used. The SSA of powders was determined by the Brunauer-Emmet-Taylor (BET) method at the Micromeritics TriStar 3000 setup after degassing of the studied material in vacuum at the temperature of 100 °C for 1 h. The X-ray diffraction (XRD) analysis was carried out at the XRD 7000 Shimadzu diffractometer (Japan). The imaging of the samples was performed at the CuK $\alpha$ -radiation with a nickel filter in the 2 $\theta$  angle range from 20 to 90°. The morphology of the CaF<sub>2</sub>:Mn/Yb/Er/Si NPs was observed using the FEI Helios NanoLab 600 high-resolution scanning electron microscope (HRSEM). For SEM studies, nanoparticles were fixed on SEM stubs using double-sided carbon adhesive tape. SEM was also performed in the scanning transmission electron microscopy (STEM) mode. For the STEM mode, a drop of the nanoparticle solution in isopropanol was deposited on a copper grid coated with a carbon film. The elemental composition was characterized by an Oxford Instruments energy dispersive x-ray (EDX) spectrometer connected to the same microscope. Magnetization curves were recorded at the Faraday balance (sensitivity of  $10^{-5}$  emu/g). The thermal analysis of nanopowders with the thermogravimetric (TG) and differential scanning calorimetry (DSC) methods was carried out at the STA-409-PC Luxx synchronous thermoanalyzer combined with the QMS-403C massspectrometer (NETZSCH). Upconversion spectra were recorded at the MDR-204 spectrometer (Hamamatsu R928 photoelectronic multiplier tube) under the excitation by the KLM-H980-200-5 laser unit (wavelength of 982  $\pm$  5 nm, power of 221 mW). The entrance and exit slits of the monochromator were 1.2 mm.

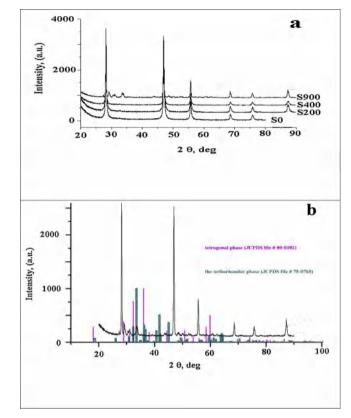
# 3. Results and discussion

# 3.1. XRD

XRD measurement was used to determine the phase composition of the as-prepared samples. As shown in Fig. 1(a), the S0, S220 and S400 samples had the single-phase structure, and the diffraction peaks are in agreement with the ordered cubic structure of CaF<sub>2</sub> (JCPDS file No. 00-035-0816) [55]. The peaks at the angles  $2\theta = 28.2674$ , 47.0203, 55.7784 and 68.6680 correspond to the indices < h k l > of (1 1 1), (2 2 0), (3 1 1), and (4 0 0), respectively [56,57].

In the X-ray pattern of the S900 sample, one can see peaks of the secondary phases (Fig.1b). The peaks of the  $CaF_2$  phase in the X-ray pattern of the S900 sample are much narrower than the corresponding peaks of all other samples, which is indicative of the high crystallinity and large grain size.

The dimensions of a unit cell in all the samples are identical within



**Fig. 1. a)** Diffractograms of S0, S200, S400, and S900 samples; **b)** Diffractogram of S900 sample and reference lines of tetragonal (JCPDS No. 01-080-0382 (pink) and orthorhombic (JCPDS No. 075-0765 (green)) phases of manganese oxides.

Table	2
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Lattice parameters in CaF2:Mn/Yb/Er/Si nanoparticle samples.

Sample	Lattice parametera, Å
SO	5.4693 (5)
S200	5.4686 (9)
S400	5.4697 (11)
S900	5.4699 (9)
CaF <sub>2</sub> (PEBE)	5.4624; 5.463(1) [58]
$CaF^{2}$ : $Er^{3+}$ : $Yb^{3+} = 0.78 : 0.20 : 0.02 [58]$	5.469(2) [58]
CaF <sub>2</sub>	5.4606 (6)

the uncertainty (Table 2).

The lattice parameters of NPs in Table 2 are in a good agreement with the lattice parameters of CaF<sub>2</sub>:Yb/Er NPs (CaF<sub>2</sub>:  $Er^{3+}$ :Yb<sup>3+</sup> = 0.78:0.20:0.02 nominal molar ratio) in [58]. We also observed an increase of the lattice parameters of CaF2:Mn/Yb/Er/Si NP in comparison with the lattice parameter of pure CaF<sub>2</sub> obtained by the PEBE method (Table 2). This increase is caused by the electron repulsion between fluorine ions distributed not only in fluoride lattice nodes, but also in interstices [59].

Additional diffraction peaks from impurity phases are clearly seen in the diffractogram of the S900 sample. In the study of the effect of annealing on nanocrystal Mn-doped CaF<sub>2</sub> powders [60], the impurity phases (Mn<sub>3</sub>O<sub>4</sub> and MnO<sub>2</sub>) formed after the annealing at the temperature of 800 °C were found in the X-ray pattern of the CaF<sub>2</sub>:Mn sample (3.0 mol%). The impurity phases formed as a result of the diffusion of atmospheric oxygen at the sample annealing. The assignment of x-ray patterns has shown the presence of tetragonal and orthorombic phases of Mn<sub>3</sub>O<sub>4</sub> spinel, whose structure includes Mn<sup>2+</sup> ions in the tetrahedral coordination and Mn<sup>3+</sup> ions of the octahedral coordination, respectively. During the annealing of samples in [60], the sample color

Sample	The concentration of elements in the samples, at.% $^{\star}$								
	С	0	F	Si	Ca	Mn	Er	Yb	Total
S0	21.3	4.7	52.8	1.1	16.5	3.3	0.03	0.3	100.00
S200	4.8	3.7	62.3	1.1	23.2	4.6	0.03	0.3	100.00
S400	14.4	6.2	53.8	1.2	20.2	4.0	0.01	0.3	100.00
Std. deviation	11.40	1.67	7.97	0.42	4.65	1.25	0.06	0.08	

Table 3 Results of elemental analysis (at %) of CaF<sub>2</sub>:Mn/Yb/Er/Si NPs by the EDX method.

\* Average element concentrations determined at different parts of every sample are given.

changed gradually from white to dark brown as the sample temperature achieved 800 °C (Fig. S2a). The smooth change in the color of our samples during the annealing from white (RT) to black (900 °C) (Fig. S2b) indicates visually the possible change in the valence of the Mn ion from 2 + to 4 + (MnO<sub>2</sub> (IV) oxide has the pronounced black color). The assignment of the diffractogram of the S900 sample (Fig. 1b) has shown the presence of tetragonal MnO<sub>2</sub> (JCPDS file № 80-0382) and orthogonal Mn<sub>2</sub>O<sub>3</sub> (JCPDS file № 75-076) impurity phases in the S900 sample, which is in agreement with the results reported in [60].

## 3.2. Energy dispersive X-ray microanalysis

Results of the elemental analysis (wt %) of CaF<sub>2</sub>:Mn/Yb/Er/Si nanoparticle samples are given in Table 3. The presence of lanthanide ions in CaF<sub>2</sub>:Mn/Yb/Er/Si NPs is confirmed by the data of the EDX spectrum (Fig. S1). In the EDX spectra, the peaks of Yb, Mn, and Si are clearly seen, while the peaks assigned to  $Er^{3+}$  ions at some parts of the S0, S200, and S400 samples practically are not observed because of the low ion concentration, which is lower than the EDX detection threshold. On the other hand, the presence of  $Er^{3+}$  ions is confirmed by their typical emission peaks, which will be demonstrated below in the upconversion spectra under the excitation by the 980-nm laser and in the cathodoluminescence spectra excited by a pulsed electron beam with the electron energy of 180 keV.

It follows from Table 3 that the concentration of fluorine ions in the  $CaF_2$  matrix lattice far exceeds the stoichiometric ratio Ca:F = 1:2. This confirms the above idea on the growth of the lattice parameter in doped samples at the expense of interstitial fluorine ions. The increased Mn concentration in NPs was quite expected because of the high pressure of the MnF<sub>2</sub> vapor in comparison with the vapors of all other components.

The high content of foreign carbon in the as-prepared S0 sample is connected with the conditions of synthesis of NPs (vapor of vacuum pump oil), whereas in the annealed S400 sample it is connected with adsorption of NPs from the environment during the annealing. The minimal concentration of carbon and oxygen impurities in the S200 sample indicates that the annealing temperature of 200 °C is optimal for removal of admixtures from mesoporous CaF<sub>2</sub>:Mn/Yb/Er/Si NPs without a marked increase in the size of initial NPs. There is a possibility of impurity carbon resulting from isopropyl alcohol, which was used to compress the target.

In addition, the mapping has shown (Fig. S3) a slight nonuniformity in the distribution of  $SiO_2$ , whereas the other elements are distributed quite uniformly. Thus, we failed to detect the effect of clusterization with SE.

# 3.3. SEM analysis

SEM images of CaF<sub>2</sub>:Mn/Yb/Er/Si NPs before and after annealing at the temperature of 200 and 400 °C are shown in Fig. 2.Initial (as-prepared) non-annealed CaF<sub>2</sub>:Mn/Yb/Er/Si NPs were strongly agglomerated and had an irregular shape (Fig. 2a, b, c) typical of the most nonmetal NPs generated by the PEBE method [52]. Agglomerates of CaF<sub>2</sub>:Mn/Yb/Er/Si NPs before and after annealing looked like spongy 3D structures (Fig. 2a, e, g) very similar to three-dimensional crosslinking silica networks (see Fig. 2a in [61]).

In addition, the non-annealed S0 sample (Fig. 2e) included some spherical micron-sized particles from 1 to 3  $\mu$ m in size, which could be removed, whenever necessary, from the obtained nanopowder through sedimentation in a liquid medium with the prior ultrasonic processing. The change in morphology with an increase of the annealing temperature becomes marked only after annealing of NPs at the temperature of 400 °C. In the S400 sample (Fig. 2k), the number and the size of spherical particles are somewhat larger than those in the S0 and S200 samples (Fig. 2c, f), whose morphology is practically indistinguishable.

#### 3.4. Textural properties of NPs

The adsorption-desorption isotherms and textural parameters of the S0, S200, S400, and S600 samples are shown in Fig. S4 and Table 4, respectively.

The isotherms of the IV type, which are typical for mesoporous materials, were observed in all the samples. The S0 and S200 samples showed the unimodal size distribution of the pores. To be noted are the high values of SSA and the pore volume in the S0, S200, and S600 samples.

The pore volume changes nonmonotonically as the annealing temperature increases: first, it decreases from 1.02 cm<sup>3</sup>/g to 0.37 cm<sup>3</sup>/g and then increases sharply up to 2.1 cm<sup>3</sup>/g after annealing at the temperature of 600 °C. The leavening action, which leads to the sharp increase in the pore volume (2.1 cm<sup>3</sup>/g) and specific surface up to 194 m<sup>2</sup>/g in CaF<sub>2</sub>-Mn/Yb/Er/Si NPs during the annealing in air at the temperature of 600 °C, is likely connected with the worse crystallinity of the CaF<sub>2</sub> matrix as a result of change in the valence of the Mn<sup>2+</sup> ion to the Mn<sup>4+</sup> ion at the consecutive phase transformation of manganese oxides by the following scheme: MnO $\rightarrow$ Mn<sub>2</sub>O<sub>3</sub> $\rightarrow$ Mn<sub>3</sub>O<sub>4</sub>, which occurs on the surface of NPs during the annealing.

The combination of the uniform mesopore volume and small size of particles is quite favorable for drug load [62]. Such an important textural parameter as the pore volume (Vpore) is much larger in CaF<sub>2</sub>-Mn/Yb/Er/Si NPs than, for example, in the popular upconversion NaY-F<sub>4</sub>:Yb,Er matrix [63], mesoporous CaF<sub>2</sub>:Yb<sup>3+</sup>,Tm<sup>3+</sup>@mSiO<sub>2</sub> nanospheres [64], ordered mesostructured LaF<sub>3</sub> nanoarrays [65]), and even well-ordered microscale MCM-41 mesoporous silica sheets [66] and SBA-15 [67].

## 3.5. DSC-TG analysis CaF<sub>2</sub>-Mn/Yb/Er @SiO<sub>2</sub>

Fig. 3 shows DSC-TG thermograms and mass-spectra of  $H_2O$  and  $CO_2$  of the as-prepared S0 and annealed S400 samples during the heating in the air atmosphere up to the temperature of 1000 °C.

Fig. 3 depicts the thermograms of the S0 and S400 samples. The thermal analysis of the behavior of CaF<sub>2</sub>-Mn/Yb/Er/Si/ NPs during the heating in the air with a rate of 10 deg/min up to 1000  $^{\circ}$ C has shown the following:

The loss of mass of the S0 and S400 samples at the heating up to 1000 °C was 4.1 and 1.0 %, respectively. The S0 sample lost about 2.5 % of mass for evaporation (section II in the TG curve, Fig. 3a) of carbonaceous compounds (CaCO<sub>3</sub>, Ca(OH)<sub>2</sub> and others). The loss of mass

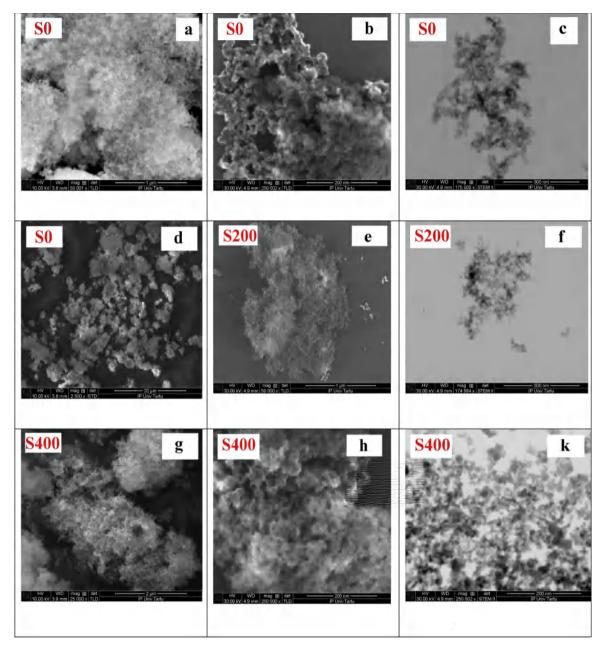


Fig. 2. SEM images of CaF<sub>2</sub>:Mn/Yb/Er/Si NPs before and after annealing at the temperature of 200 and 400 °C in the ordinary (a,b,d,e,g,h) and transmission (c,f,k) modes.

Table 4
Texture parameters of the CaF <sub>2</sub> :Mn /Yb /Er/Si before and after annealing.

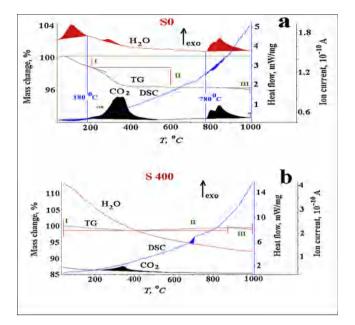
Sample	SSA (m²/g)	Pore volume (cm <sup>3</sup> /g)	Pore size (nm)
SO	296 ± 2.8	1.02	32.3
S200	$56.8 \pm 2.5$	0.48	23.5
S400	$55 \pm 0.7$	0.37	29.9
S600	$194 \pm 5.0$	2.10	29.8
NaYF4:Yb <sup>3+</sup> /Er <sup>3+</sup> @nSiO <sub>2</sub> @mSiO <sub>2</sub> [63]	450	0.5	4.7
CaF <sub>2</sub> :Yb <sup>3+,</sup> Tm <sup>3+</sup> @mSiO <sub>2</sub> [64]	470	0,24	10,7
LaF <sub>3</sub> [65]	75	0,15	4
MSM-41 [66]	916.78	1.36	7.5
SBA-15 [67]	787	1.056	8.8

at section II of the TG curve was accompanied by a synchronous release of  $\rm H_2O$  and  $\rm CO_2$  in the corresponding mass-spectral curves.

Two endothermal peaks were found in the DSC curve: the first peak

in the temperature range 40180 °C is caused by the evaporation of water adsorbed in mesopores and on the surface of NPs, the second peak in the temperature range  $\sim 780-875$  °C may be a result of decomposition of calcium hydroxide and calcium carbonate in the following relations: Ca(OH)<sub>2</sub>=CaO+H<sub>2</sub>O (1) and CaCO<sub>3</sub>=CaO + CO<sub>2</sub> (2). The consecutive proceeding of the above decomposition reactions can be suggested from the structure of the peaks in the H<sub>2</sub>O and CO<sub>2</sub> mass-spectra – each mass-spectrum includes two peaks, which appear synchronously at the heating and correspond to reactions (1) and (2). An insignificant loss of the sample mass at the heating from 780 to 1000 °C (less than 0.5 mass%) also confirms that the endothermal peak in the range  $\sim 780-875$  °C corresponds to decomposition reactions (1) and (2) and desorption of interstitial atoms of excess fluorine, whose presence was indicated by the data of EDX analysis (strong non-stoichiometry at the expense of excess fluorine).

The thermal analysis of the S400 sample has shown (Fig. 3b) that the annealing at 400  $^\circ C$  has led to the practically absolute vanishing of



**Fig. 3.** Heating thermograms of synchronous DSC-TG analysis in the temperature range 40–1400 °C: a) S0 sample, b) S400 sample.

adsorbed water in the sample (O peaks are absent in the mass-spectral curve of water). Only one low-temperature peak associated with removal of residual carbonaceous compounds remained in the mass-spectral curve of CO<sub>2</sub>. An insignificant loss of mass was observed up to the temperature of about 350 °C. Starting from 425 °C, the mass increased gradually due to the formation of the CaO oxide. Starting from the temperature of about 880 °C, the mass decreased smoothly likely due to the removal of interstitial fluorine ions from NPs. A slight endothermal peak associated with the phase transformation in an unknown compound was observed in the DSC curve at the temperature of 680 °C. This peak likely corresponds to the phase transition between polymorphous forms of manganese oxide.

Thus, the thermal analysis has shown that the as-prepared sample contains the rather large amount of carbonaceous impurities and water molecules, which could be removed at the longer annealing (in our case, the short 10-min annealing was used) without a considerable increase in the nanocrystal growth.

# 3.6. Upconversion spectra

The upconversion spectra of CaF<sub>2</sub>:Mn/Yb/Er/Si recorded under the laser ( $\lambda = 980$  nm) excitation at the room temperature are shown in Fig. 4a. The spectra of Er<sup>3+</sup> ions of each sample include seven emission peaks at ~432, ~490, ~543, ~595 ~611, ~674, and ~837 nm. The spectrum of the S900 sample includes an additional peak at 453.7 nm. Fig. 4a shows the assignment of the spectral lines. Er<sup>3+</sup> luminescence is sensitized in the presence of Yb<sup>3+</sup> due to the intense absorption of laser radiation of 980 nm  ${}^{2}F_{7/2} \rightarrow {}^{2}F_{5/2}$ , followed by energy transfer to Er<sup>3+</sup> in the  ${}^{4}I_{11/2}$  state. The  ${}^{4}I_{11/2} \rightarrow {}^{4}F_{7/2}$  transition also occurs upon excitation by the second photon from the  ${}^{2}F_{5/2}$  state of the Yb<sup>3+</sup> ion. The  ${}^{4}F_{9/2}$  state of the Er<sup>3+</sup> ion is excited directly by two laser photons with the participation of the matrix phonons.

In the transfer of excitation energy between  $\text{Er}^{3+}$  ions, the cross-relaxation process  ${}^{4}I_{11/2} + {}^{4}I_{11/2} \rightarrow {}^{4}I_{15/2} + {}^{4}F_{7/2}$  is dominant, which equally affects the population of levels  ${}^{2}H_{11/2}$ ,  ${}^{4}S_{3/2}$ .

For the S900 sample, the  ${}^{4}F_{5/2} - {}^{4}I_{15/2}$  transition, practically absent for all the other samples, is observed. An increase in the probability of population of the  ${}^{4}F_{5/2}$  level is likely caused by the change in the structure of optical centers at the annealing at 900 °C.

The PL intensity of the samples changes nonmonotonically with an

6

increase of the annealing temperature (growth of the nanoparticle size) (Fig. 4b). The annealing at 200 °C has led to the removal of water adsorbed inside mesopores and on the surface of NPs. It is well-known [68] that water can significantly quench luminescence. The short (10 min) annealing of NPs at the temperature of 200 °C should not lead to considerable growth of nanoparticle size, which is in agreement with the data of SEM microscopy. Therefore, an increase in the emission intensity of the S200 sample was not caused by an increase in the size of nanocrystals. However, the significant increase of the SSA of NP was observed in the S200 sample (Table. 4). This increase of the SSA can be explained exclusively by desorption of water and other volatile compounds from the sample surface and mesopores. That is why the luminescence intensity of the S200 sample increased markedly, especially, in the red and NIR peaks.

The annealing at 400 °C has led to a decrease in the emission intensity of the red and NIR peaks. At the same time, the intensity of all other peaks exceeded the intensity of the corresponding peaks in the dominant S200 sample. The further increase of the annealing temperature has led to a sharp decrease of the integral PL intensity in the S600 and S900 samples. The marked splitting of all peaks in the spectrum of the S600 sample is indicative of the change in the crystalline field at the optical centers. Distortions of the crystal lattice were caused, in their turn, by the growth of the additional CaO phase. The change in the valence of Mn<sup>2+</sup> ions residing directly on the surface of NPs (which is clearly seen from the permanent change in the color of the as-prepared S0 sample from the white-beige to black in the S900 sample) decreases the PL intensity of CaF2:Mn/Yb/Er/Si NPs. The similar change in the color of the as-prepared non-annealed sample from white at the room temperature (RT) to deep black after annealing at 800 °C was observed in [60]. A significant decrease in the intensity of all seven PL peaks of Er<sup>3+</sup> ions and appearance of the new blue peak (453.7 nm) in the S900 sample is connected with the change in the phase composition of nanopowder at the high-temperature (900 °C) annealing. namely, formation of additional CaO and MnO<sub>2</sub> phases, which supports the correctness of the proposed way of phase transformation in the samples during the annealing.

A change in the intensity ratio of the red (673 nm) to green (543 nm) bands ( $R_{673}/G_{543}$ ) and the NIR band (837 nm) to red (673 nm) bands NIR<sub>837</sub>/R<sub>673</sub> with an increase of the annealing temperature is depicted in Fig. 4b, c. One can see that the integral PL intensity of the S200 sample (total of all 7 peaks) is nearly twice as high as the intensity of the S0 sample. Therefore, it must be conceded that from the viewpoint of the possible use of the NIR-to-NIR upconversion of CaF<sub>2</sub>:Mn/Yb/Er/Si NPs in NIR-to-NIR theranostics [69], the S200 sample is most suitable for bio-imaging and drug delivery (as a nano-container).

It is well-known [5,70,71] that the significant increase of the emission intensity in the red band (650 nm) can be achieved through an increase in the concentration of  $Mn^{2+}$  ions in the fluoride lattice. It was shown in [5] that the addition of different  $Mn^{2+}$  dopant contents in NaLnF<sub>4</sub>:Yb/Er (Ln = Ln: Lu, Gd, Yb) resulted in the high UCPL intensity, which was 59.1 times higher than the UCPL intensity of the sample containing no Mn.

UCPL in NIR-to-Red or NIR - NIR [69,72–74] spectral ranges has the prime importance at the exciting radiation with the wavelength of about 800-980 nm. This case is of particular significance for biomedical purposes. The excitation in the NIR spectral range in combination with the emission of UCNPs themselves in the NIR or Red ranges is very promising due to the high transparency of biological liquids, low tissue damage, and nearly complete absence of autofluorescence [75]. In addition, UCPL of  $Ln^{3+}$ -doped inorganic NPs has many desirable properties, such as the high quantum efficiency of luminescence, long lifetime of excited states, and high photostability [76].

Thus, two intense peaks in the NIR (837 nm) and RED (657 nm) ranges have been found in the UCPL spectrum of  $CaF_2:Mn/Yb/Er/Si$  NPs. The emission of these peaks can be used in photodynamic therapy (PDT) for excitation of a photosensitizer (PS) located in the disease

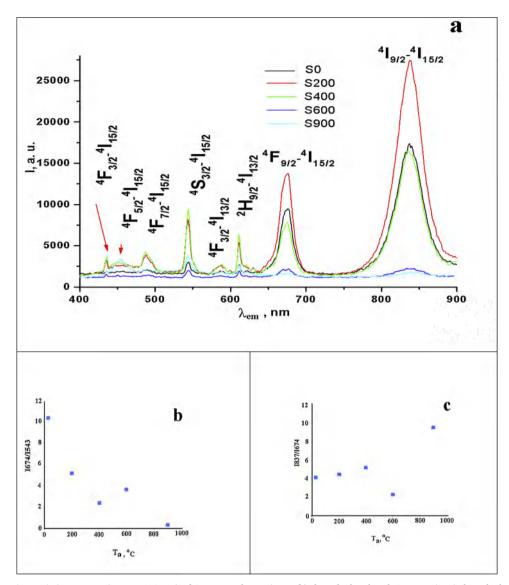


Fig. 4. a) Upconversion emission spectra ( $\lambda_{exc}$  = 980 nm) of CaF<sub>2</sub>:Mn/Yb/Er/Si NPs; b) the calculated Red/Green ratio; c) the calculated NIR/Red ratio.

area. In this case, it is possible to use simultaneously two and more photosensitizers [77] having the absorption spectra in the NIR and RED ranges. The photodynamic therapy (PDT) uses special preparations referred to as photosensitizing agents, which reacts to the certain type of radiation, to kill cancer cells [78].

The new generation of photosensitizers is now actively developed. They have stronger absorption in the NIR spectral range corresponding to the optimal transmission window of bio-tissues (700-1000 nm) [79].

# 3.7. Magnetic properties

All the CaF<sub>2</sub>: Mn/Yb/Er samples before and after the annealing demonstrated paramagnetic properties at the room temperature (Fig. 5). At the room temperature, the magnetization curves are linear functions of the field. This allows us to determine the susceptibility and to compare it with the value calculated from the concentration of Mn ions on the assumption that the valent state is 2 + (high-spin state, S = 5/2). In this case, the calculation yields the value of  $2.3 \ 10^{-5} \ cm^3/g$ , which markedly exceeds the experimental values (Table 5).

The discrepancy between the calculated and experimental values of susceptibility may be connected with the low-spin state of some Mn atoms. However, it should be noted that according to the literature data

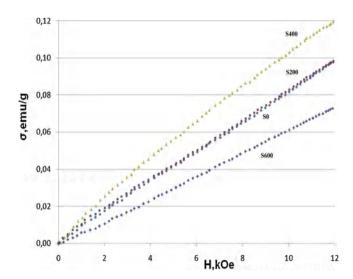


Fig. 5. Magnetization curves of the S0, S200, S400, and S600 samples as measured at 300 K.

Table 5

Magnetic susceptibility of CaF\_2:Mn/Yb/Er/Sibefore and after annealing.

Sample	Susceptibility, $10^{-6}$ cm <sup>3</sup> /g		
SO	8.1(4)		
S200	8.1(4)		
S 400	9.1(4)		
S 600	6.3(3)		
SO (calculation)	23		

[80] the low-spin state is observed quite rarely for  $Mn^{2+}$  because it requires the presence of a strong crystal field. Another possible cause for the decrease of susceptibility can be anti-ferromagnetic interaction between closely spaced manganese ions [81]. A change in the structure at annealing can affect both the spin state of manganese ions and their mutual arrangement, and the both these factors can be causes for the change in susceptibility as a result of annealing at 400 and 600 °C.

# 4. Conclusions

Thus, multimodal (UCNP/MRI/CT) CaF<sub>2</sub>: Mn/Yb/Er /Si NPs have been synthesized by the PEBE method in a vacuum. The NPs have demonstrated the dominant RED-NIR upconversion luminescence under the irradiation by a 980-nm laser. Mesoporous CaF<sub>2</sub>:Mn/Yb/Er/Si NPs were characterized by the large volume of inter-particle pores (up to 2 cm<sup>3</sup>/g). Thus, they can be used as nano-containers, for example, for drug storage and delivery. The paramagnetic properties of CaF<sub>2</sub>:Mn/ Yb/Er/Si NPs suggests the possibility of their use in MRI. The presence of Yb in the composition of NPs assumes their possible use in CT as a contrast agent [33]. The minimal content of REE ions in the composition of NPs allows us to hope of their low toxicity for living cells The study of the effect of annealing of NPs in the air has confirmed the high thermal stability of NPs in the temperature range from RT to 400 °C. Potentially, the developed NPs can be used as a trimodal agent in UCPL/MR/CT imaging.

The effect of thermal processing of NPs on their structural, textural, luminescent, and magnetic properties has been examined. It has been found that NIR-to-NIR and NIR-VIS upconversion photoluminescence (UCPL) of NPs before and after annealing was observed under the excitation by a 980-nm laser. The intensity of the UCPL peaks in the NIR and VIS spectral ranges changed in different directions with an increase of the annealing temperature (with an increase of the particle size). The maximal UC intensity of the peak in the NIR range was observed after annealing of the sample at the temperature 200 °C, while the maximal intensity in the visible range was observed after annealing at the temperature of 400 °C. The sharp increase of the integral UCPL intensity in the range 300-900 nm was observed after annealing at the temperature of 600 and 900 °C. The suppression of UC luminescence of both types with an increase of the annealing temperature was caused by the change in the phase composition of NPs (formation of CaO oxide, the appearance of the Mn<sub>3</sub>O<sub>4</sub> spinel phase on the surface of NPs) and in the valence of Mn<sup>2+</sup> ions to Mn<sup>3+</sup> and Mn<sup>4+</sup>. The upconversion luminescence lines of the NPs include radiation at the wavelength of 837 nm, which is very promising for these NPs to be used for object imaging in bio-tissues. Owing to the optical properties of these nanophosphors, they can be excited directly through bio-tissue and, in addition, the zone of their location can be seen [82].

#### **Declaration of Competing Interest**

Authors have no conflict of interest From the team of authors Dr. Ilves

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#### Appendix A. Supplementary data

Supplementary material related to this article can be found, in the online version, at doi:https://doi.org/10.1016/j.jfluchem.2020. 109457.

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