



## Fabrication, properties and laser performance of Ho:YAG transparent ceramic

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### ABSTRACT

Highly transparent Ho:YAG ceramic was successfully fabricated by solid-state reaction and vacuum sintering. The optical properties, the microstructure and the laser performance of the Ho:YAG ceramic were investigated. Ho:YAG ceramic with the average grain size of  $\sim 15 \mu\text{m}$  was obtained by sintering at  $1760^\circ\text{C}$  for 20 h. The in-line transmittances in the visible region and the infrared region were both over 80%. No pores, impurities and secondary phases were detected in the grains and at the grain boundaries. The 1 at.% Ho:YAG ceramic slab ( $1.5 \text{ mm} \times 10 \text{ mm} \times 18 \text{ mm}$ ) was end-pumped by a Tm-YLF laser at 1910 nm. The maximum output power of 1.95 W was yielded with a slope efficiency of 44.19% and Tm to Ho optical-optical efficiency was 24% at 2091 nm.

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### 1. Introduction

In recent years, an increasing interest has been focused on the solid-state lasers operating in the eye-safe spectral region near  $2 \mu\text{m}$  because of their varied applications such as optical communications, coherent laser radar, atmospheric sensing and medical equipment [1–4]. Also, high-power quasi-continuous wave (QCW)  $2 \mu\text{m}$  lasers are efficient pump sources of optical parametric oscillators (OPOs) and optical parametric amplifiers (OPAs).

Currently two technologies are in wide use—diode-pumped  $1.9 \mu\text{m}$  thulium ( $\text{Tm}^{3+}$ ) and  $2.1 \mu\text{m}$  holmium ( $\text{Ho}^{3+}$ ) lasers. The performance of the  $\text{Tm}^{3+}$  in  $\text{Y}_3\text{Al}_5\text{O}_{12}$  (YAG)/ $\text{YAlO}_3$  (YAP)/ $\text{Gd}_3\text{Ga}_5\text{O}_{12}$  (GGG) single crystal has been widely studied and the laser operation has been successfully realized [4–7]. Diode-pumped Tm:YAG ceramic laser also has been studied in our previous work [8].  $\text{Ho}^{3+}$  lasers are used as pump sources for non-linear optics to generate mid-infrared radiation in the spectral range of  $3\text{--}5 \mu\text{m}$ , for lidar applications as their emission wavelengths are less affected by the atmospheric water vapor absorption than those of  $\text{Tm}^{3+}$  lasers, in medicine and for plastic welding [9]. Moreover, direct resonant pumping Ho  $^5\text{I}_7$  manifold offers the advantages of high slope efficiency (70% in Ho:YLiF<sub>4</sub>) [10], minimal heating due to low quantum defect of less than 10% between pump and laser.

The performance of the Ho:YAG single crystal has been widely studied [11,12] and the laser operation has been successfully realized [13–18]. Holmium-doped yttrium aluminum garnet (Ho:YAG) ceramic is the promising material of choice for  $2.1 \mu\text{m}$  applications due to its well-known excellent thermo-mechanical properties and advantages compared with Ho:YAG single crystal (for example: ease of fabrication, less cost, mass production, feasibility of large size, high holmium concentration, etc.). The laser performance of Ho:YAG ceramic has been reported in Dr. Cheng's work with the specimen we provided [19]. And to the best of our knowledge, fabrication and properties of highly transparent Ho:YAG ceramic has not been reported yet.

Ho:YAG does not have any suitable absorption feature in the traditional diode laser wavelength window of 785–980 nm, so that Ho:YAG cannot be directly pumped by traditional diode lasers. Using Tm-doped laser operating at approximate  $1.9 \mu\text{m}$  direct resonant pumping Ho  $^5\text{I}_7$  manifold offers the advantages of high quantum efficiency, minimal heating due to low quantum defect between pump and laser of  $\sim 10\%$ , and reduced up-conversion losses caused by Tm sensitized. Therefore, this approach has the advantage of very low quantum defect heating with the result that high lasing efficiencies are attainable.

Available room-temperature pump sources for Ho are the Tm laser in the hosts YAG, YLiF<sub>4</sub> (YLF), and YAP. The absorption spectrum of Tm:YLF falls within the emission spectrum of commercially available laser diodes emitting peak of 792 nm. The emission spectrum of Tm:YLF matches well with the relatively broad absorption spectrum of Ho:YAG. Therefore, Tm:YLF laser allows relatively low

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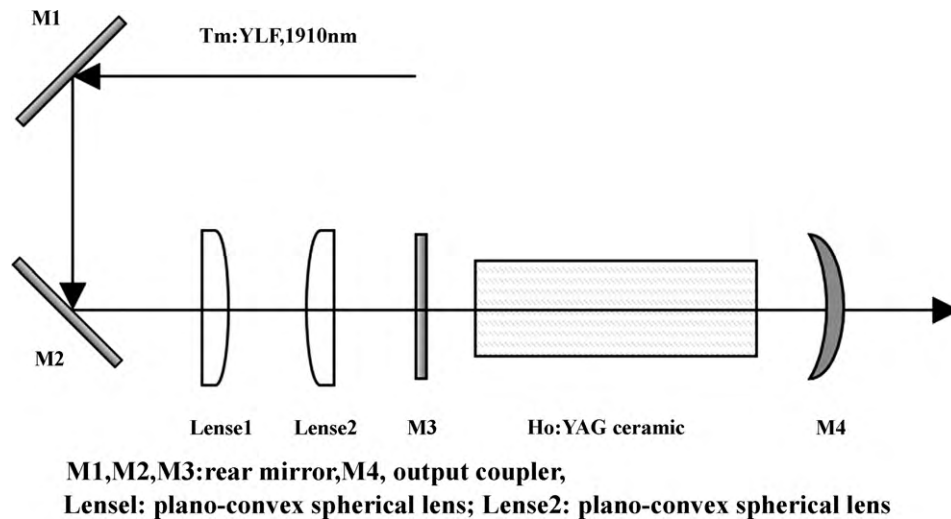


Fig. 1. The scheme of the Ho:YAG ceramic laser experiment.

brightness diode pump sources to be used and efficiently pumping Ho:YAG [20,21].

In this paper, highly transparent Ho:YAG ceramic was successfully fabricated by a simple solid-state reaction method and vacuum-sintering technology. The microstructure, the spectral characteristics and room-temperature laser actions of Tm:YLF laser pumped Ho:YAG ceramic in CW modes were reported in this work.

## 2. Experimental procedures

### 2.1. Ceramic fabrication

High-purity powders of  $\alpha$ - $\text{Al}_2\text{O}_3$  (99.99%, Shanghai Wusong Chemical Co. Ltd., Shanghai, China),  $\text{Y}_2\text{O}_3$  (99.99%, Shanghai Yuelong New Materials Co. Ltd., Shanghai, China), and  $\text{Ho}_2\text{O}_3$  (99.99%, Conghua Jianfeng Rare-Earth Co. Ltd., Guangzhou, China) were used as starting materials. These powders were blended according to the stoichiometric ratio of 1 at.% Ho:YAG and ball-milled with high-purity corundum balls for in ethanol 10 h, with a binder and addition of 0.6 wt% tetraethyl orthosilicate (TEOS) as a sintering aid. Then, the alcohol solvent was removed by drying the milled slurry at 80 °C for 4 h in oven. The dried powder mixture was ground and sieved through 200-mesh screen. After removing the organic component by calcining at 500–800 °C for 2 h, the powder mixture was dry-pressed with a low pressure into  $\Phi 25$  mm disks in a steel mold and then cold-isostatically-pressed at 250 MPa into green bodies. Then the green bodies were vacuum-sintered at 1760 °C for 20 h. After sintering, the specimens were annealed at 1400 °C for 1 h in air to relieve internal stresses and fill oxygen vacancies formed during

the vacuum-sintering process. Finally, highly transparent Ho:YAG ceramics were obtained.

The phase composition of the sample was identified by X-ray diffraction (Model D/MAX-2550V, Rigaku, Tokyo, Japan). The sample mirror-polished on both surfaces was used to measure optical transmittance and absorption spectra (Model U-2800 Spectrophotometer, Hitachi, Tokyo, Japan). For measuring the fluorescence spec-

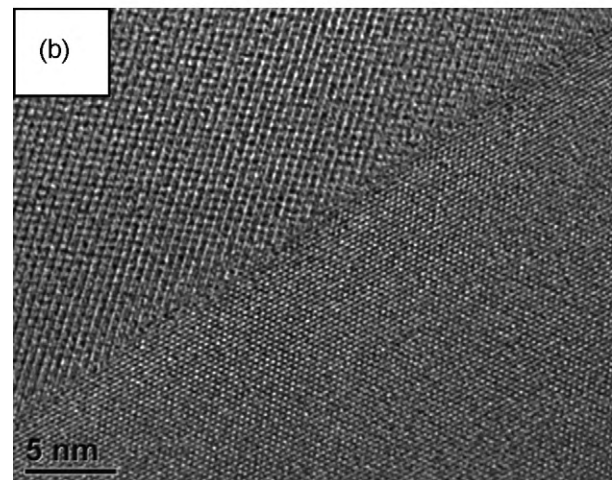
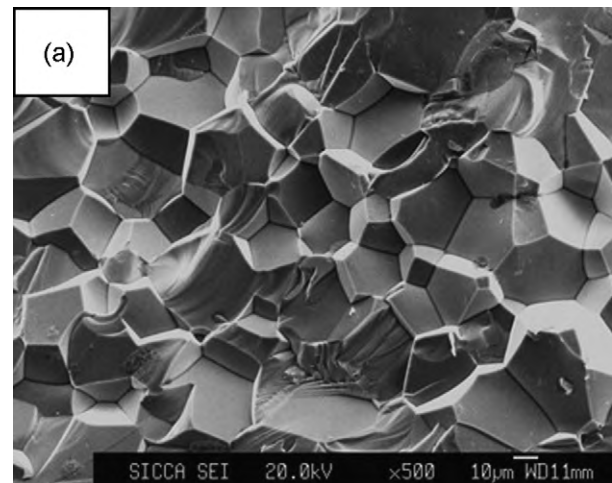


Fig. 3. The EPMA micrograph of the fractured surface (a) and the high-resolution TEM micrograph (HRTEM) of the grain boundary (b) of the Ho:YAG ceramic.

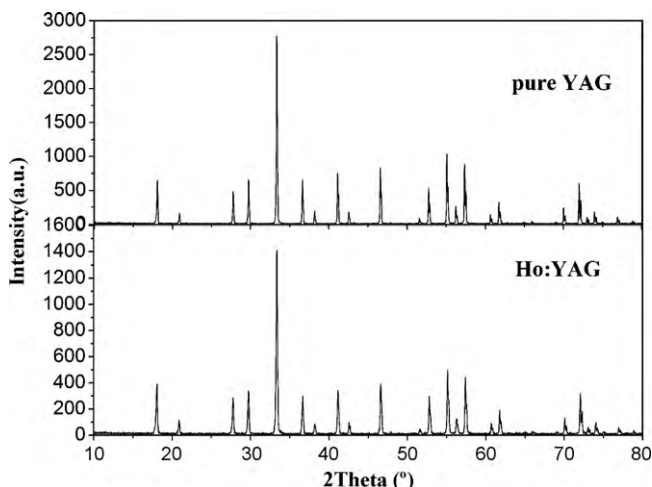
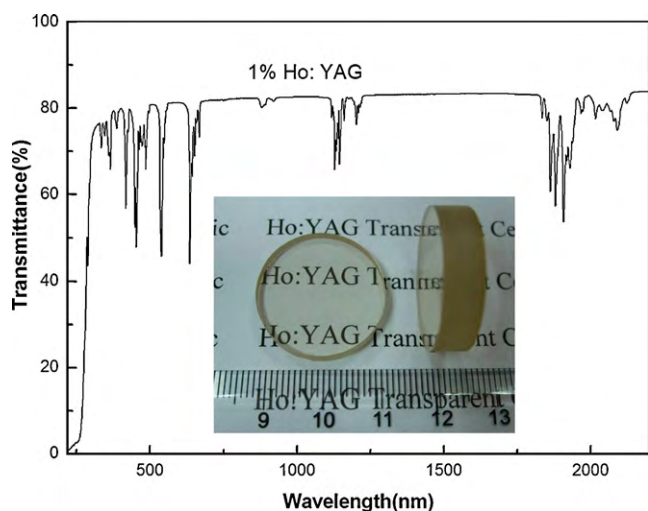


Fig. 2. XRD patterns of pure YAG and Ho:YAG samples.



**Fig. 4.** The transmittance spectrum of mirror-polished 1 at.% Ho:YAG sample. The inset picture is the appearance of the sample.

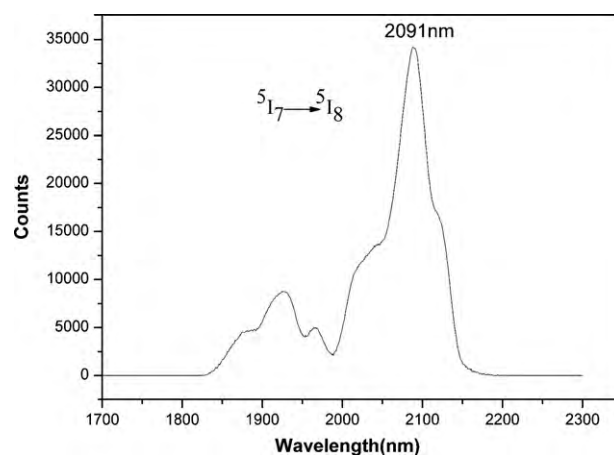
trum (Model Fluorolog-3, Jobin Yvon, Paris, France), the specimen was excited at 640 nm by the flash lamp. The microstructure of the fractured surface of the sample was observed by electron probe micro-analyzer (EPMA, Model JXA-8100, JEOL, Tokyo, Japan). The microstructure of grain boundary was characterized by a field emission transmission electron microscopy (FETEM, Model EM 2100, JEOL, Tokyo, Japan).

## 2.2. Laser experiment

Fig. 1 shows a schematic diagram of the experimental setup. The dimension of the sample is 1.5 mm × 10 mm × 18 mm. Both surfaces (1.5 mm × 10 mm) of the Ho:YAG ceramic were mirror-polished, parallel, and coated with an anti-reflection at 1910 nm and 2100 nm. The sample was end-pumped by a Tm:YLF laser with an emission wavelength of around 1910 nm. M1 and M2 were two rear mirrors which were set at 45° angle with the Tm:YLF laser beam and anti-reflection coated at 1910 nm. The laser cavity consisted of two mirrors (M3 and M4), where M3 was anti-reflection coated at 1910 nm and high-reflection coated at 2100 nm, and M4 was the output coupler (OC). The transmittance at 2090 nm and curvature radius of the output coupler is 5%, 400 mm.

## 3. Results and discussion

Fig. 2 displays the XRD patterns of Ho:YAG and pure YAG ceramic at room temperature. The locations of Ho:YAG peaks are almost the same as that of pure YAG although the strength of peaks is different. That is because that the Ho:YAG ceramic has the same structure as the pure YAG ceramic. The calculated lattice constant for Ho:YAG ceramic is 1.2009 nm, which is quite similar to that of pure YAG ceramic (the calculated lattice constant for pure YAG is 1.2010 nm), because that  $\text{Ho}^{3+}$  takes the position of  $\text{Y}^{3+}$  in the lattice structure



**Fig. 6.** The fluorescence spectrum of the 1 at.% Ho:YAG ceramic at room temperature.

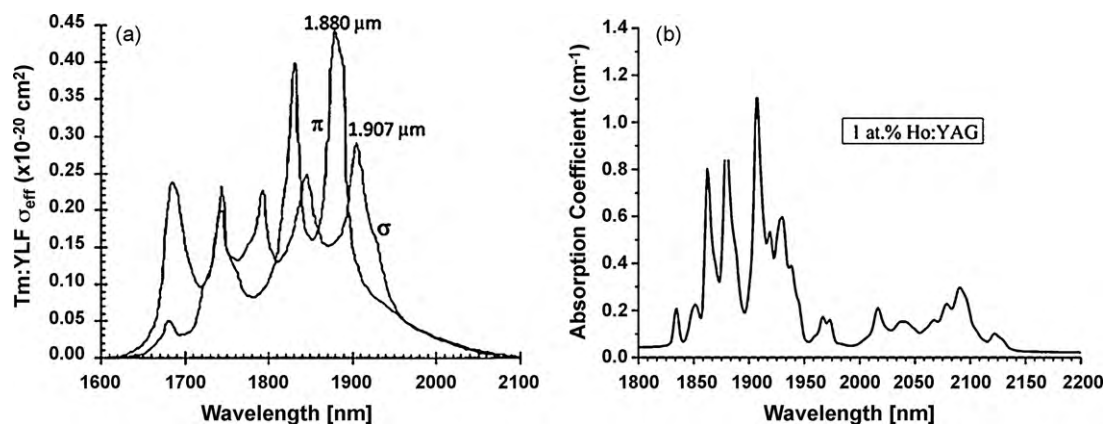
and the radius of  $\text{Ho}^{3+}$  (89.4 pm) is just a little smaller than that of  $\text{Y}^{3+}$  (90 pm).

The EPMA micrograph of fractured surface (Fig. 3(a)) and the high-resolution TEM micrograph (HRTEM) of the grain boundary (Fig. 3(b)) show the microstructure of the Ho:YAG ceramic. As shown, the grain sizes are quite uniform and the average grain size is about 15  $\mu\text{m}$ . The grain boundary is clear and clean. There are no pores, impurities and secondary phases in the grains and at the grain boundary.

From the in-line transmittance spectrum in Fig. 4, we can see that Ho:YAG laser wavelength (around 2.1  $\mu\text{m}$ ) is right in the absorption band (1800–2100 nm), which indicates that Ho:YAG laser has a self-absorption process. In this case, normally the  $\text{Ho}^{3+}$  doping levels should not be above 3% in the Ho:YAG laser material. The inset picture is the appearance of the samples. The samples are highly transparent and the thickness is 5 mm.

The emission spectrum of Tm:YLF (Fig. 5(a)) [22] coincides well with the absorption spectrum of Ho:YAG transparent ceramic (Fig. 5(b)). Both of the peak emission cross-section lines (1.880 and 1.907  $\mu\text{m}$ ) in Tm:YLF are well suited to pump Ho:YAG ceramic efficiently. For this work, we use the 1.9  $\mu\text{m}$  Tm:YLF line as a pump source.

Fig. 6 is the room-temperature fluorescence spectrum excited by the flash lamp. The emission region with a peak at 2091 nm is from 1800 nm to 2200 nm which comes from the transition  $5\text{I}_7 \rightarrow 5\text{I}_8$  of the 1% Ho:YAG ceramic. With the fluorescence spectrum, the sample was suitably coated and the laser experiment was well designed in Fig. 1.



**Fig. 5.** The effective emission cross-section of Tm:YLF (a) [13] and the absorption spectrum of the Ho:YAG ceramic from 1800 nm to 2200 nm (b).

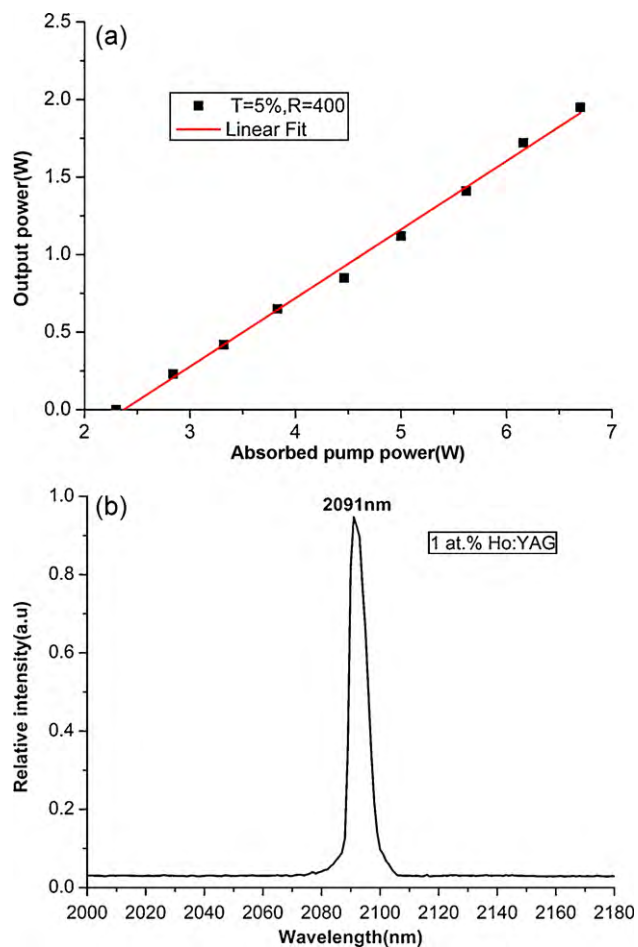


Fig. 7. The laser output power versus the absorbed pump power (a) and the laser spectrum (b) for the 1 at.% Ho:YAG ceramic.

To the best of our knowledge, highly transparent Ho:YAG ceramic is fabricated for the first time in this paper. The Ho:YAG ceramic slab was end-pumped by a Tm-YLF laser. The laser spectrum of the 1 at.% Ho:YAG ceramic is centered at 2091 nm, as shown in Fig. 7(b). Fig. 7(a) illustrates the laser output power versus the absorbed pump power for the 1 at.% Ho:YAG ceramic. The maximum laser output power of 1.95 W has been obtained with only 6.7 W of absorbed Tm pump power. A linear fit to the data yielded a slope efficiency of 44.19% with a threshold of approximately 2.3 W. The Tm:YLF to Ho:YAG optical-to-optical efficiency was 24%. The slope efficiency and optic-optic transformation efficiency of Ho:YAG ceramic yielded in this study are still lower than those of Ho:YAG crystal (the slope efficiency and optic-optic transformation efficiency of Ho:YAG crystal are 57% and 38%, respectively [23]), however, with improvement of ceramic fabrication process

and optical quality, Ho:YAG ceramic is a very promising substitute for Ho:YAG crystal in the foreseeable future.

#### 4. Conclusions

Highly transparent Ho:YAG ceramic with average grain size of  $\sim 15 \mu\text{m}$  was obtained by solid-state reaction and vacuum sintering. The grain boundary was clean and no secondary phase was observed. The 1 at.% Ho:YAG ceramic slab was end-pumped by a Tm-YLF laser at 1910 nm. The maximum output power of 1.95 W was obtained with a slope efficiency of 44.19% and Tm to Ho optical-to-optical efficiency of 24% at 2091 nm. The results of the laser experiment show that the quality of such kind of ceramics is good enough to be used as a highly efficient laser material.

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